



IUGG

MELBOURNE Australia 2011

Earth on the Edge: Science for a Sustainable Planet

28 June - 7 July 2011

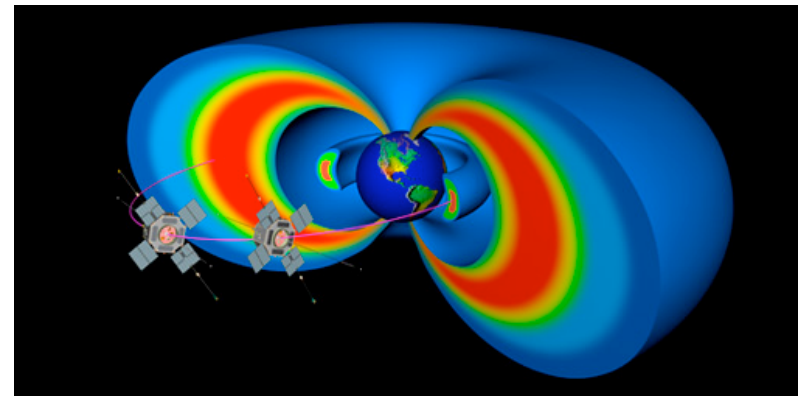


A review of wave-particle interactions in the inner magnetosphere

Jacob Bortnik, UCLA

Outline: I will ...

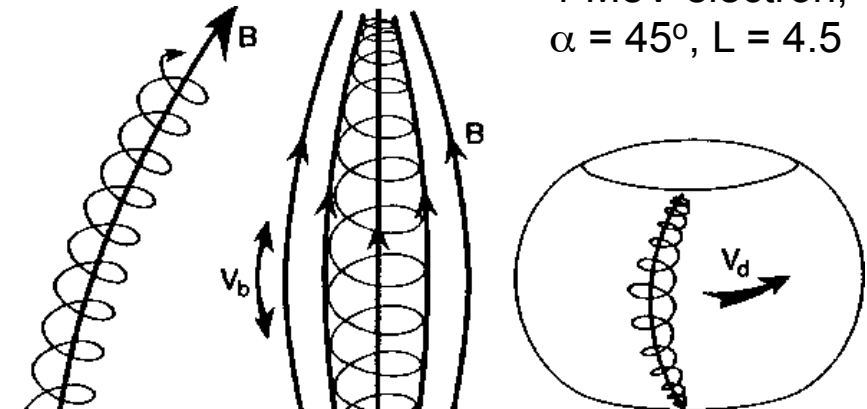
1. Focus on wave-particle interactions under the general context of radiation belt physics
 - Except when I don't (e.g., diffuse aurora, pulsating aurora, mapping)
2. Highlight the last 2 years
 - But not exclusively
3. Not cover everything
 - Try to capture our evolving understanding in a few key areas



Stable, periodic motion

- Energetic particles undergo three types of periodic motion:
 - They **gyrate** around the magnetic field
 - They **bounce** between the mirror points
 - They **drift** around the Earth
- Associated adiabatic invariant

1 MeV electron,
 $\alpha = 45^\circ$, $L = 4.5$



Gyro Motion

Bounce Motion

Drift Motion

gyro
motion

bounce
motion

drift
motion

f

10 kHz

3 Hz

1 mHz

T

0.1 ms

0.36 s

15 min

$$\mu = \frac{p_{\perp}^2}{2mB}$$

$$J = \int_{\text{bounce}} p_{\parallel} ds$$

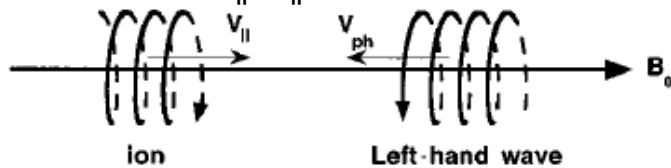
$$\Phi = \int_{\text{drift}} B dS$$

Wave-particle interaction: violation of the invariant/s

1st invariant violation

$$\omega - k_{\parallel} v_{\parallel} = n\Omega_e/\gamma$$

ions

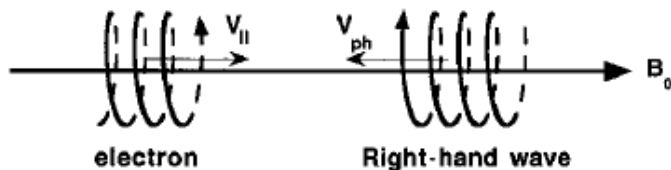


$$\omega - \bar{\mathbf{k}} \cdot \bar{\mathbf{V}} = \Omega^+$$

$$\omega + k_{\parallel} V_{\parallel} = \Omega^+$$

The relative motion between the wave and particle Doppler shifts the wave up to the ion cyclotron frequency.

electrons



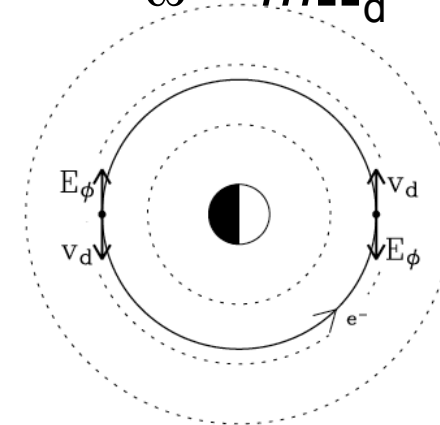
$$\omega + k_{\parallel} V_{\parallel} = \Omega^-$$

Tsurutani & Lakhina [1997]

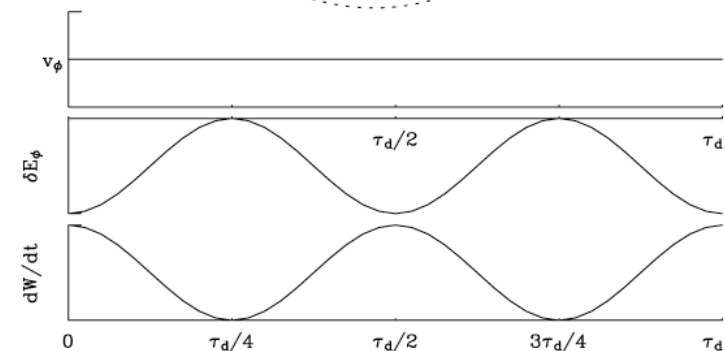
3rd invariant violation

$$\omega = m\Omega_d$$

(a)



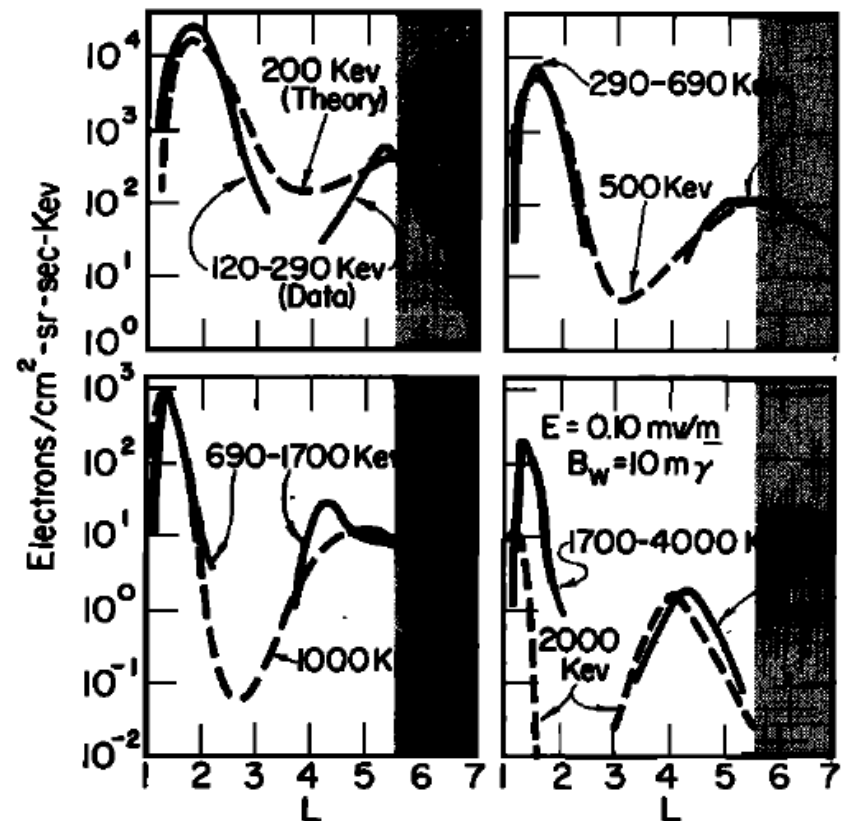
(b)



Elkington, Hudson & Chan
[2003]

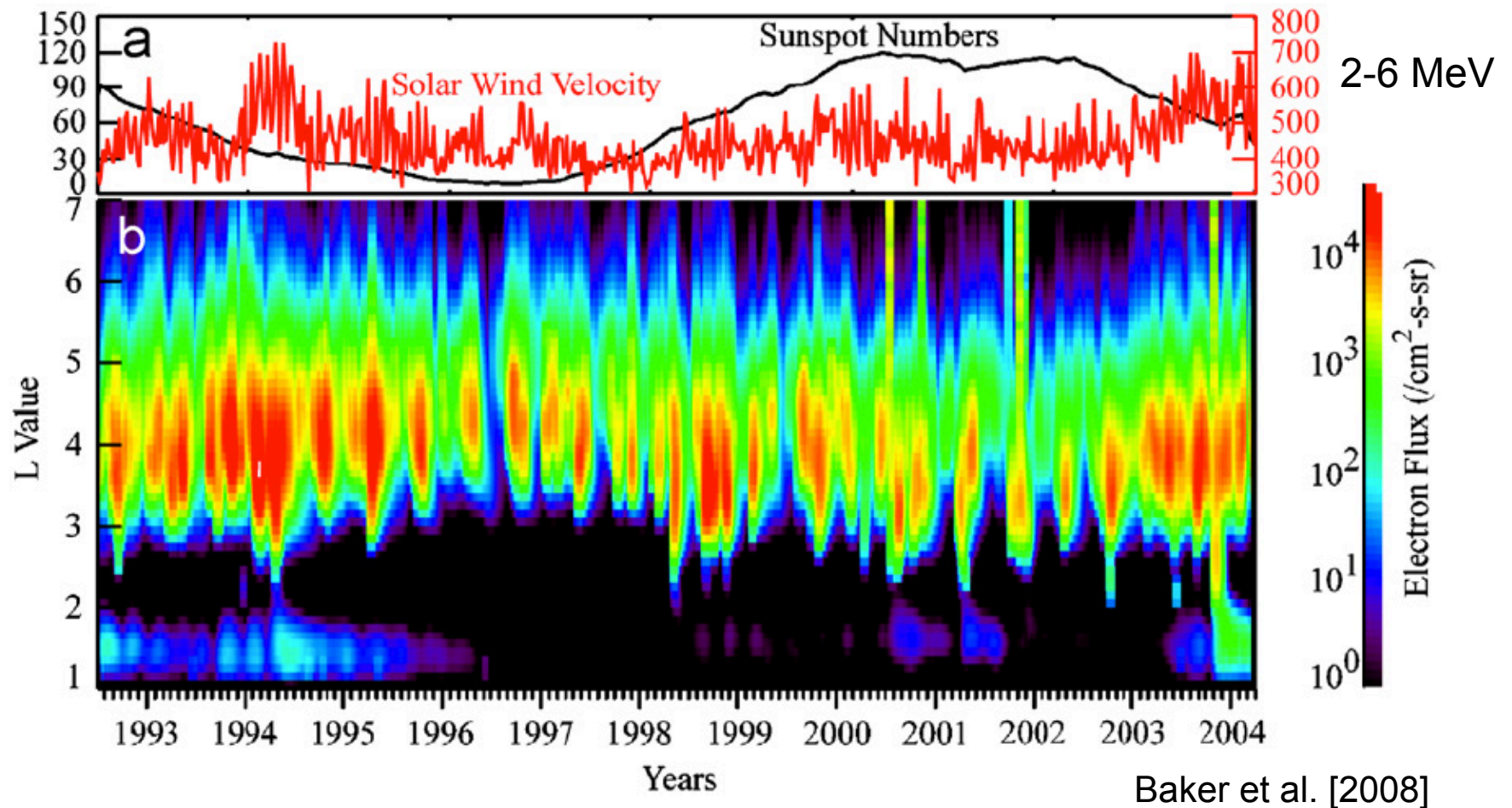
Equilibrium 2-zone structure

- The quiet-time, “equilibrium” two-zone structure of the radiation belt results from a balance between:
 - inward radiation diffusion
 - Pitch-angle scattering loss (plasmaspheric hiss)
- Inner belt: $L \sim 1.2-2$, relatively stable
- Outer belt: $L \sim 3-7$, highly dynamic



Lyons & Thorne
[1973]

Variability of the outer belt



Outer radiation belt exhibits variability, several orders of magnitude, timescale \sim minutes.

Predictability: solar wind

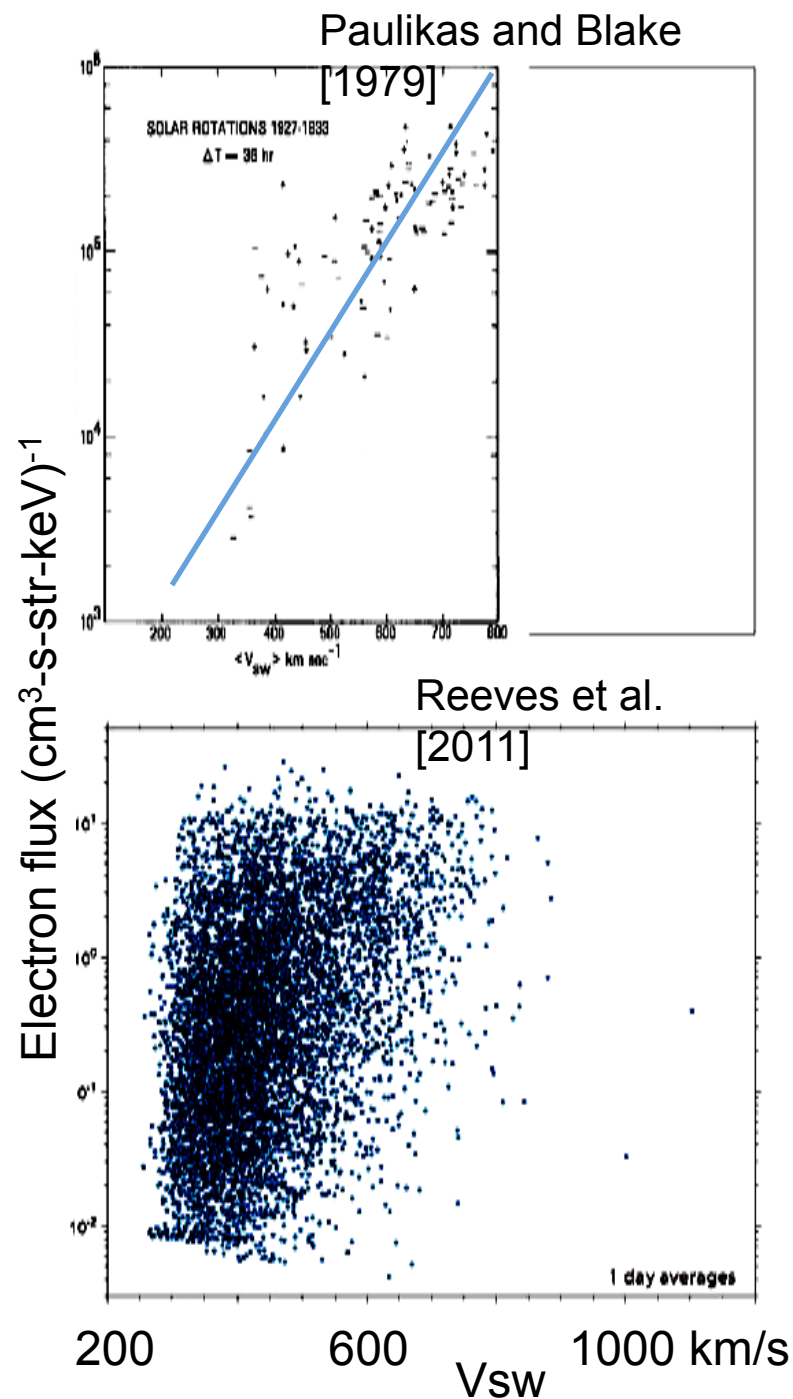
Paulikas & Blake [1979]

- Linear distribution
- $\log(\text{flux})$ proportional to V_{sw}

Reeves et al. [2011]

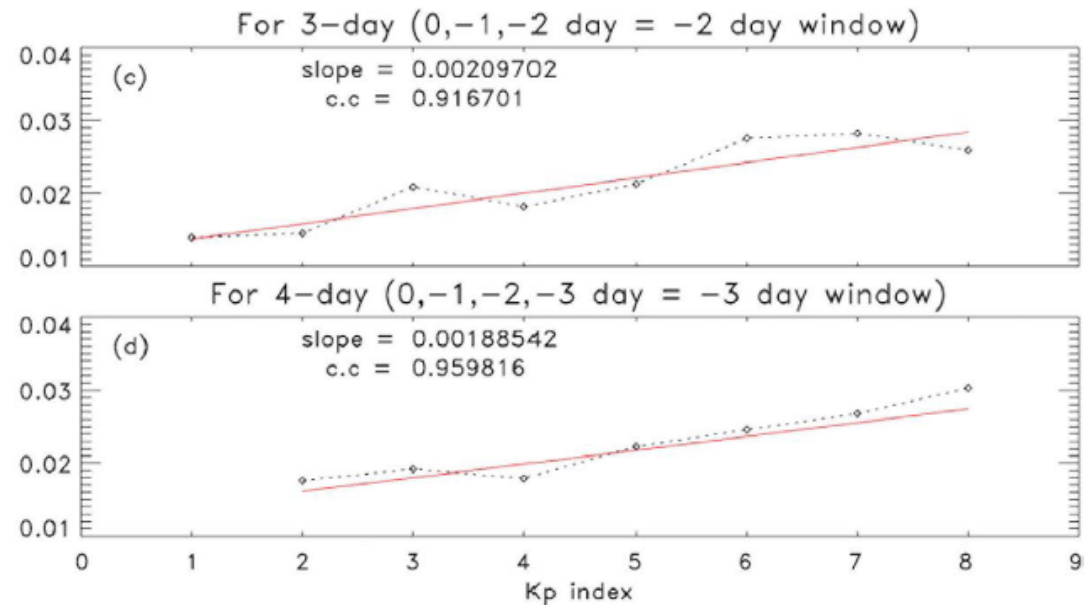
- Triangular distribution
- Lower bound of $\log(\text{flux})$ proportional to V_{sw}
- upper bound independent of V_{sw}

- For a given velocity, flux can take a wide range of values

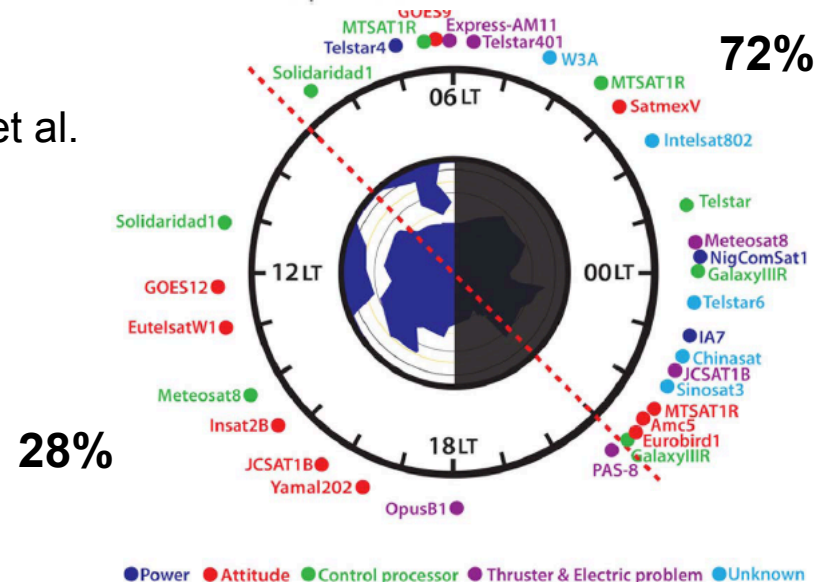


Spacecraft anomalies at GEO

- Analyzed ~100 GEO satellite anomalies from Satellite News Digest 1997-2009
- Good correlation between geomagnetic activity and anomalies (max Kp in past 3-4 days)
- Seasonal dependence (max in Spring and Fall), maybe due to Russell-McPherron effect
- Similar to lower energy electron behavior ($E < 100$ keV)

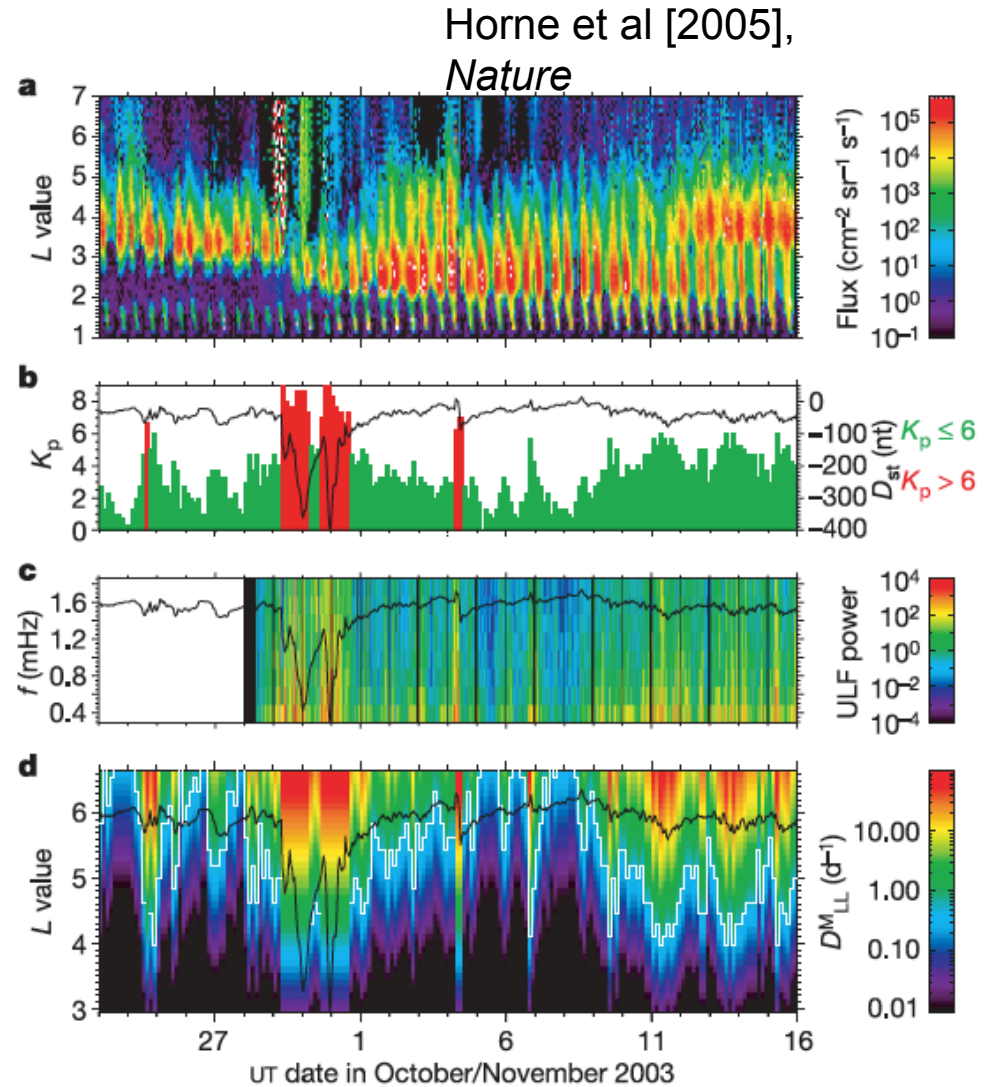
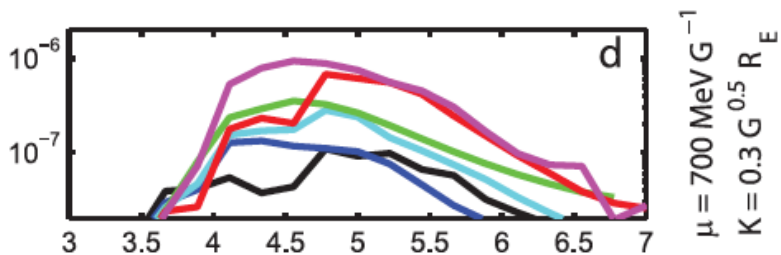
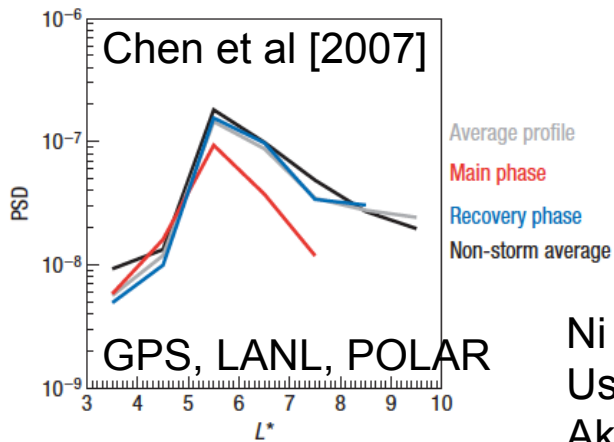


Choi et al. [2011]



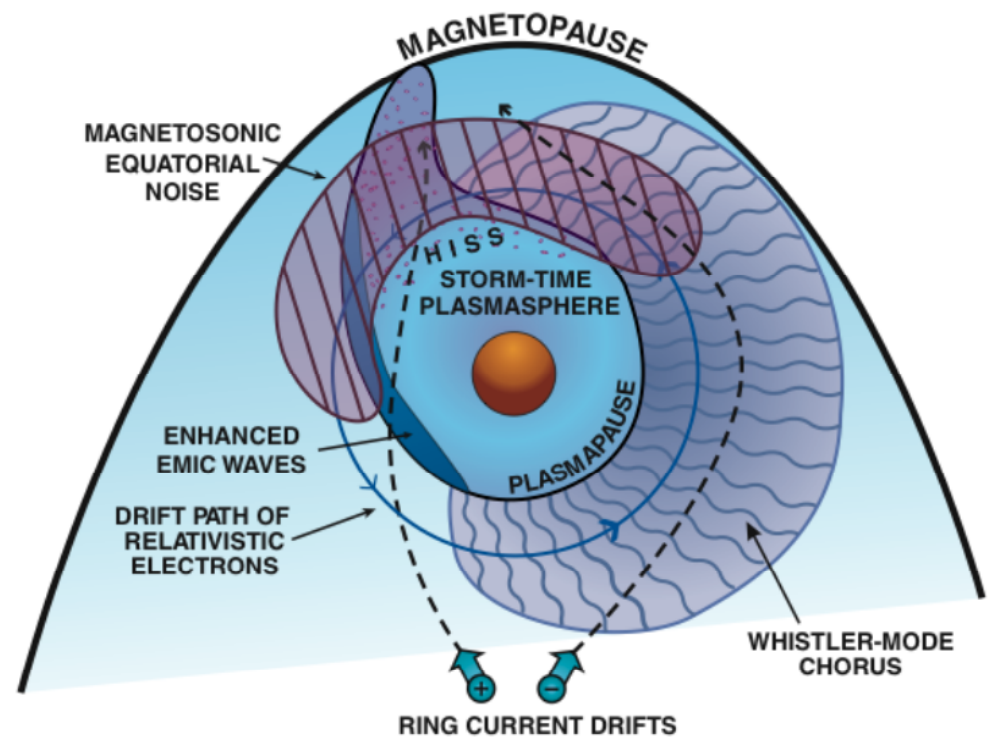
Paradigm shift

- Peaks develop at low L (~5) in PSD (e.g., Green et al. [2004]), and they are common
- **ULF power occurs during dropout**
- chorus during acceleration (Horne et al. [2005])



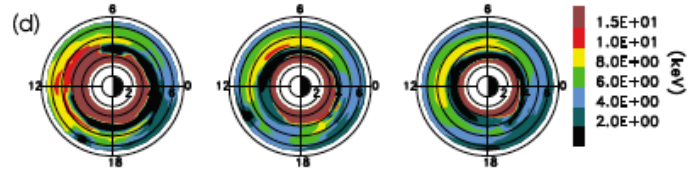
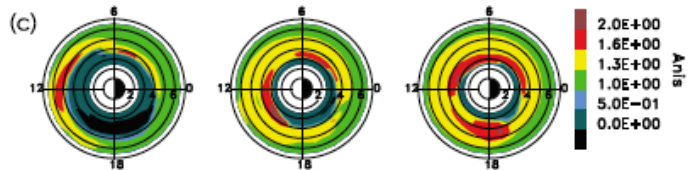
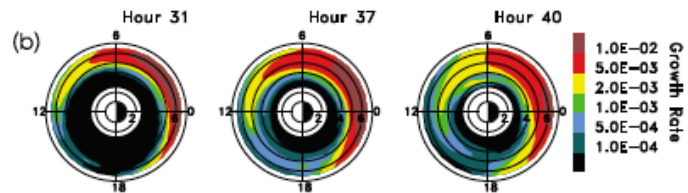
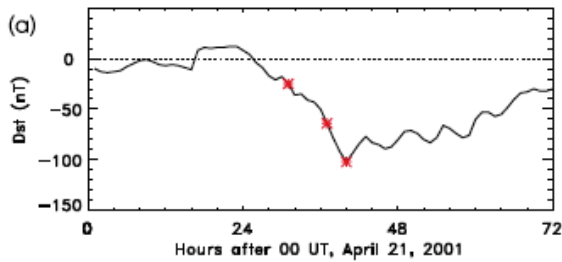
Wave effects

- Particles drift around the earth
- Accumulate scattering effects of:
 - ULF
 - Chorus
 - Hiss (plumes)
 - Magnetosonic
- Characteristic effects of each waves are different and time dependent



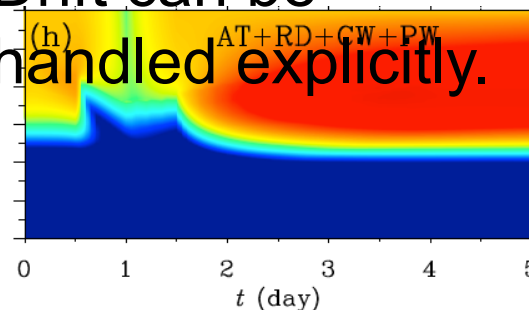
Thorne [2011] GRL
"frontiers" review

Multi-dimensional diffusion

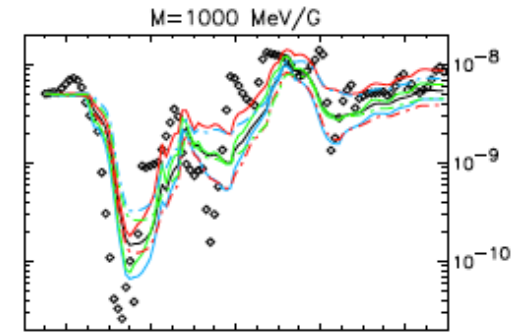


Jordanova et al. [2010] RAM, RCM, HTORAY 4D code

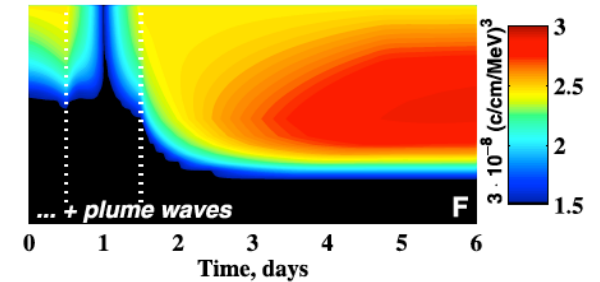
Different wave populations reflected as (time/space dependent) diffusion coefficients. Used to solve FP-diffusion equation. Drift can be handled explicitly.



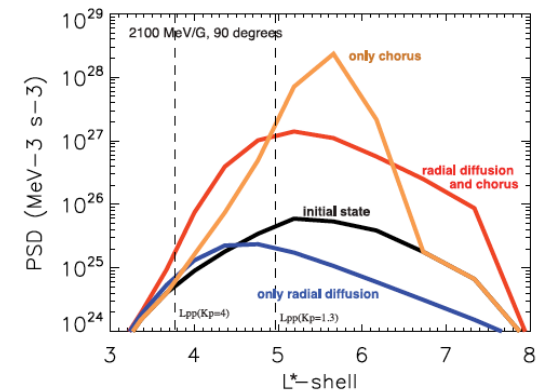
Su et al. [2010,2011] STEERB



Albert et al. [2009]



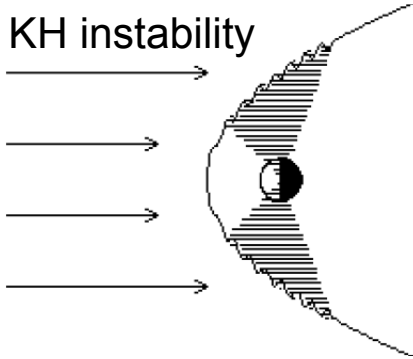
Shprits et al. [2009] VERB



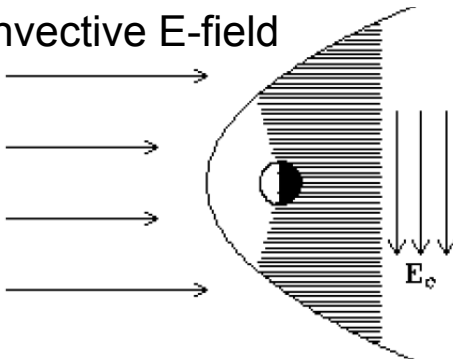
Varotsou et al. [2008] Salamambo

ULF Waves

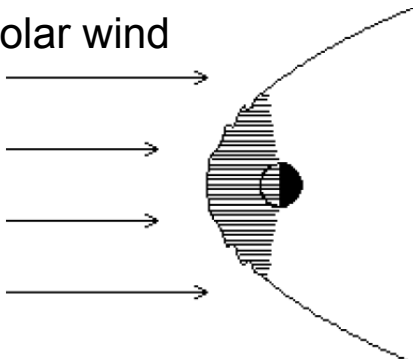
Shear waves due to KH instability



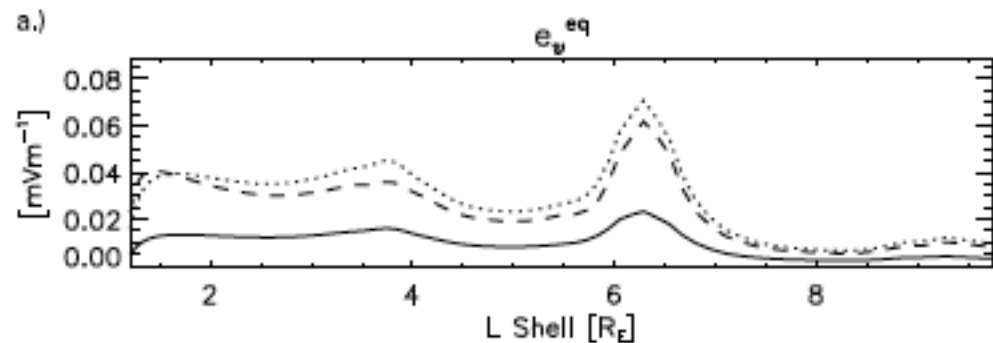
Variations in convective E-field



Impulsive variations in solar wind



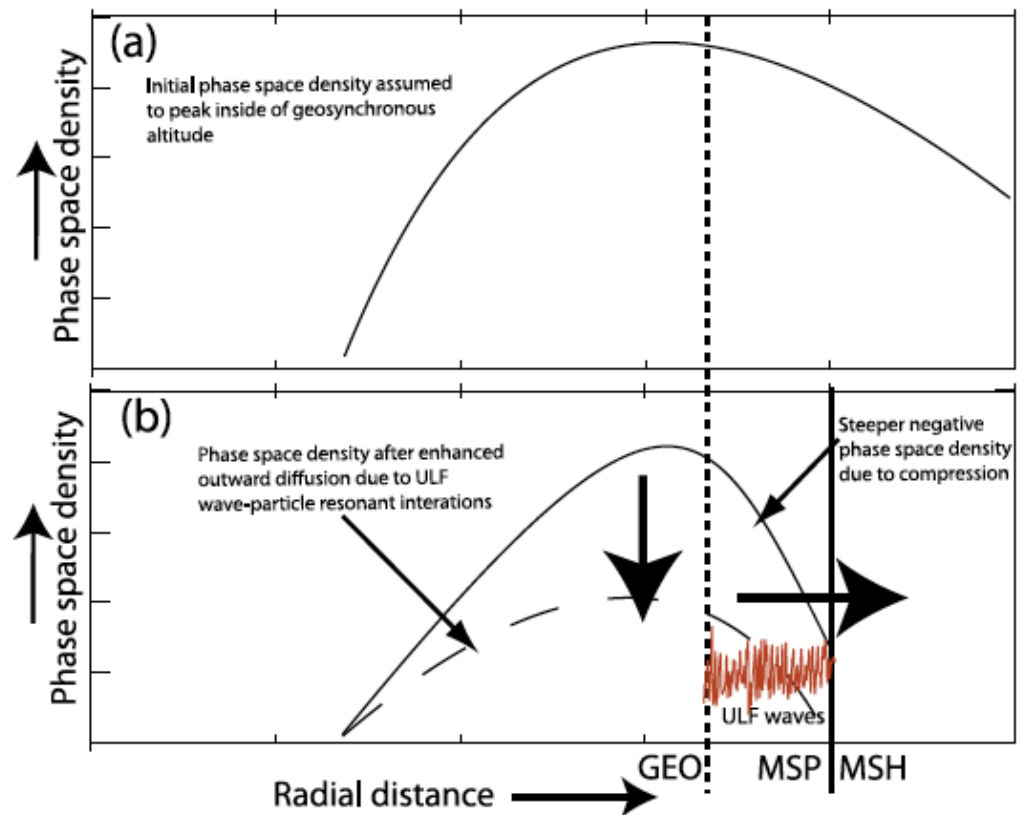
- The evolving role of ULF waves:
 - Inward radial transport as source of radiation belts
 - Redistribution of PSD peaks; impulsive transport and acceleration of particles
 - Outward radial diffusion, possibly leading to RB



Sciffer and Waters [2011], following Ozeke et al. [2009]

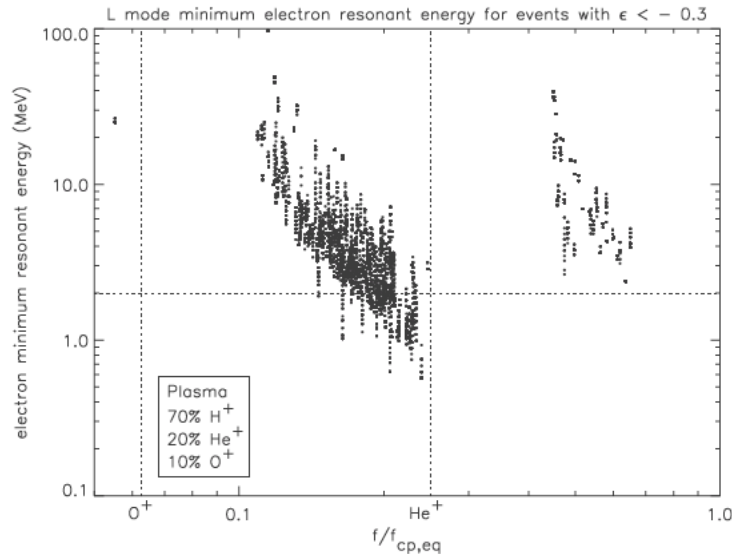
Dropouts: magnetopause shadowing and radial diffusion

- A dropout event on 25 June 2008
 - Magnetopause, $L \sim 8$
 - Loss time ~ 4 hrs
- ULF waves measured on ground magnetometers
 - Radial diffusion rates estimated
 - Lifetimes ~ 2.5 hrs
- **Key question:**
magnetopause at low L + large D_{LL} , always true for dropouts?

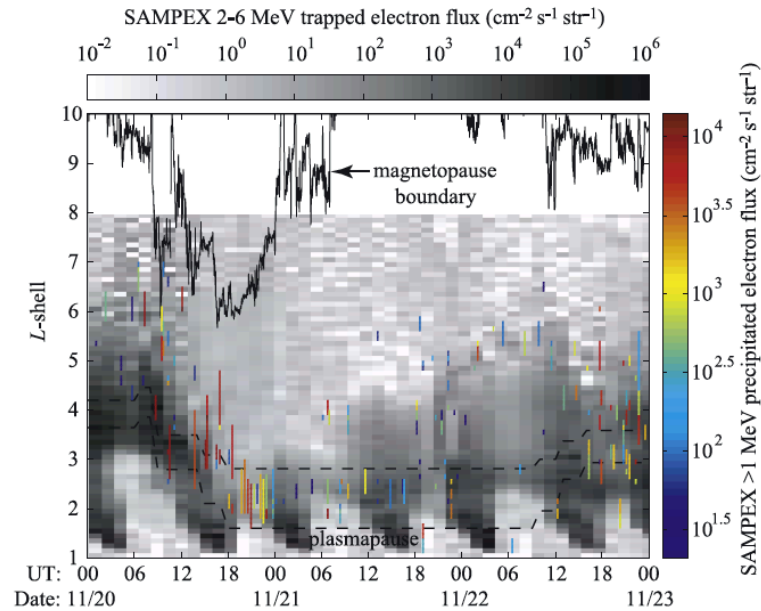


Loto'aniu et al. [2010]

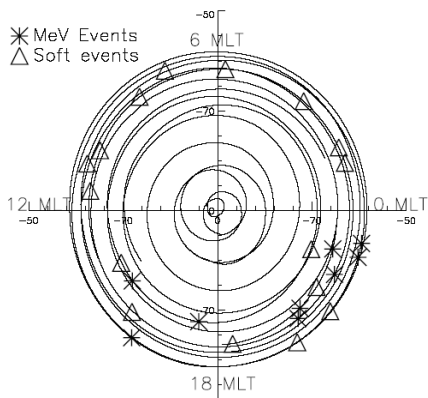
EMIC wave scattering: then



Meredith et al. [2003]: e^- resonant energies below 1 MeV



Bortnik et al. [2006]: e^- precipitation bands during dropouts. Evidence suggests EMIC.

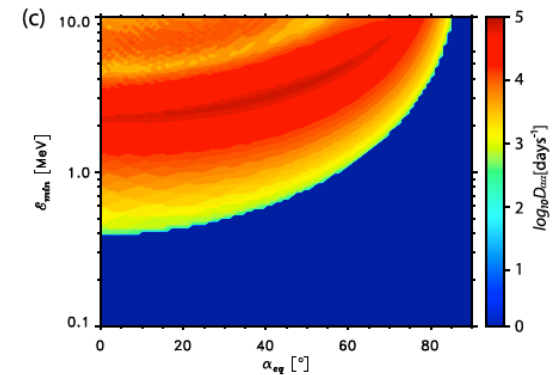
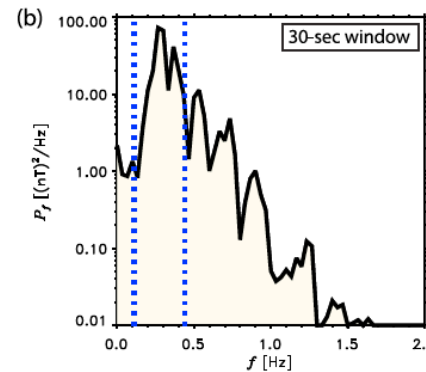
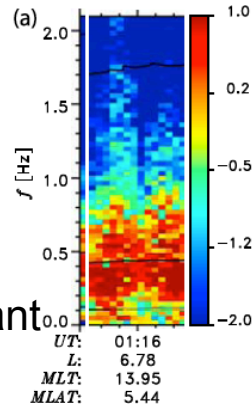


Millan et al. [2002]: Hard X-ray (MeV) events on duskside EMIC dominant Loss mechanism in dropouts

Borovski & Denton [2009]: Need

Plume & hot ions for dropouts

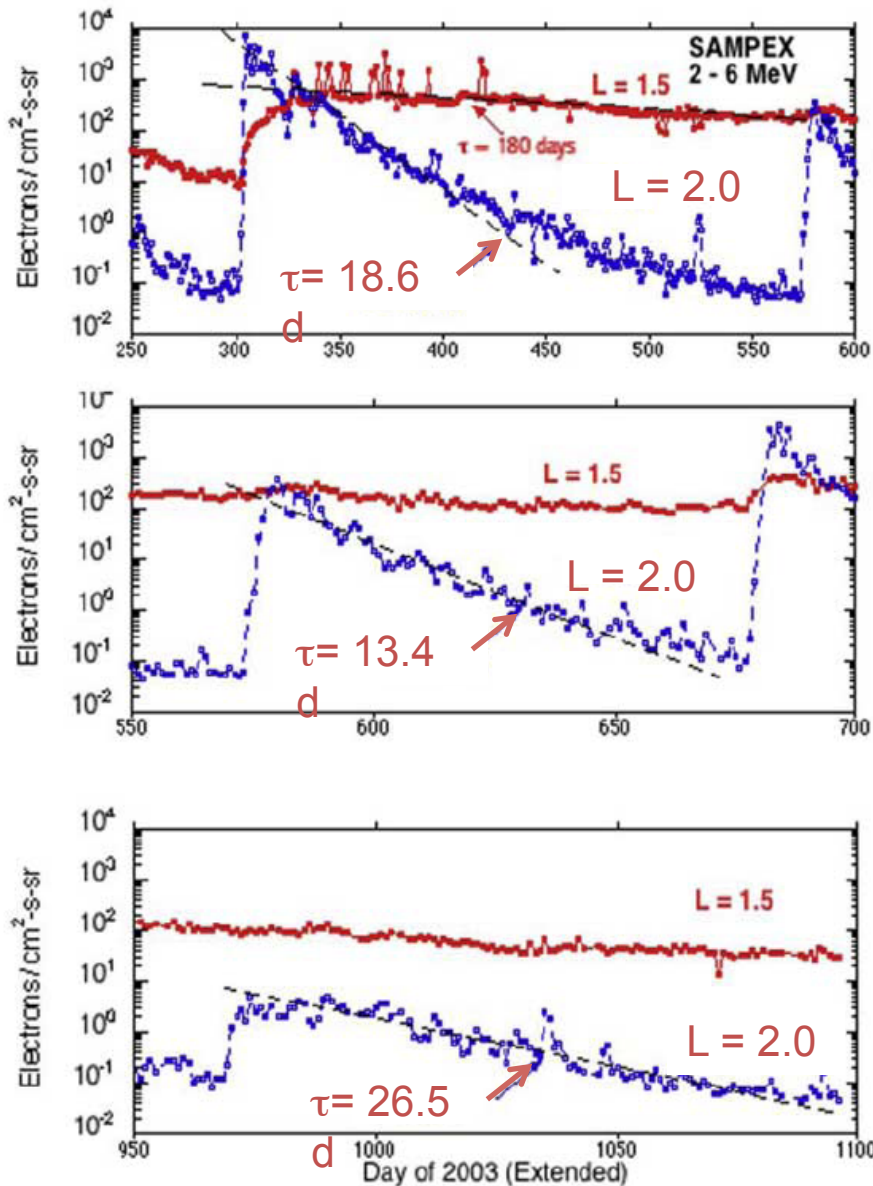
Ukhorskiy et al. [2010]: e^- resonant energies below to 400 keV



EMIC wave scattering: now

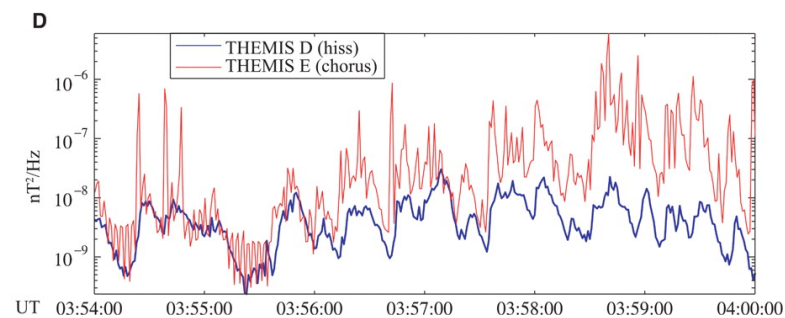
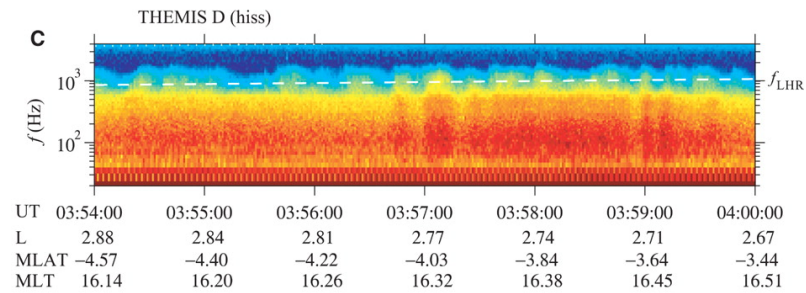
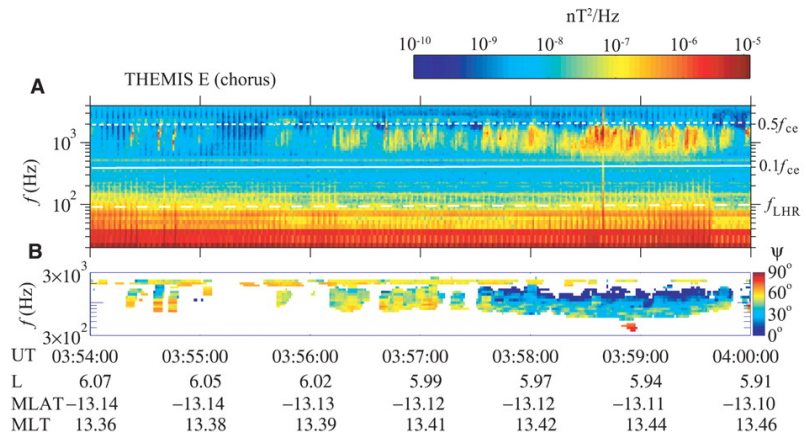
- Millan et al. [2010]: X-ray balloon observations, wrong place! Precipitation was not the direct cause of flux dropouts at GEO
- Meredith et al. [2010]: During HSS's, no evidence for MeV electron precipitation during the dropout event
- Morely et al. [2011]: Dropouts down to ~ 200 eV, well below minimum resonant energy of EMIC. Loss timescales too fast.
- Silin et al. [2011]; Chen et al. [2011]: Including warm plasma effects: EMIC can be excited in the stop bands, and precipitation > 2 MeV
- Borovski and Cayton [2011]: The radiation belt and tail energetic electrons are the SAME population. Inward or outward transport?
- Turner et al. [GEM talk]: no precipitation accompanying dropout

Plasmaspheric hiss



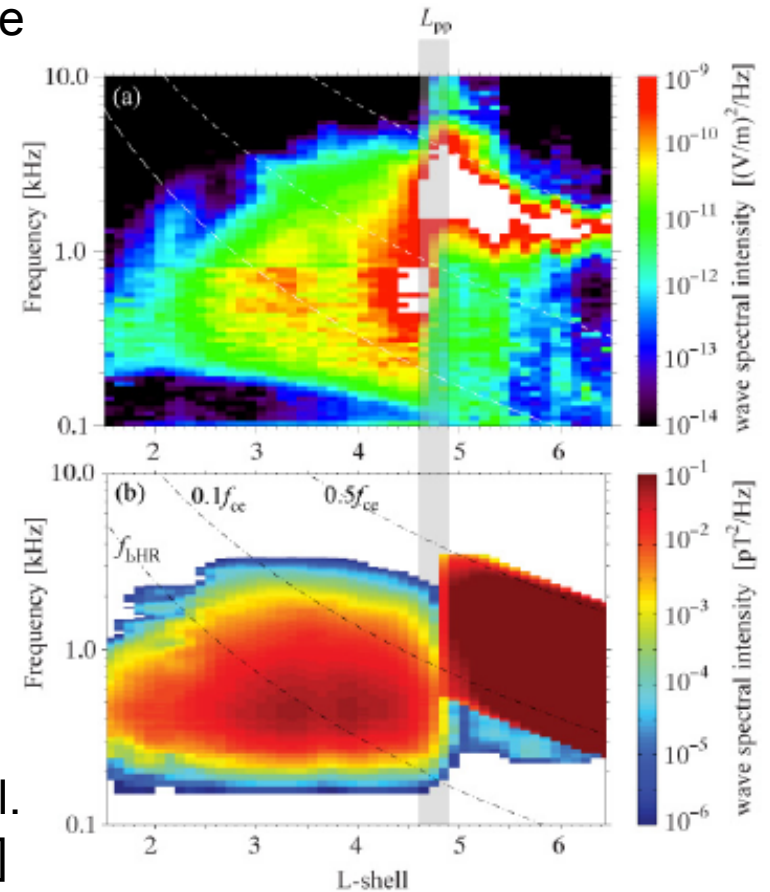
- Plasmaspheric hiss:
 - Incoherent EM wave
 - confined to the plasmasphere
 - $f \sim 0.2-2\text{kHz}$, strong on dayside
 - Intensity is dependent on AE
 - Responsible for electron scattering in the slot region
- Baker et al., [GRL, 2007] decay rates for 2-6 MeV electrons from SAMPEX ~ 20 days at $L = 2.0$
- Meredith et al. [2007] hiss scattering must be from nearly parallel waves (otherwise lifetime is too long)
- Bortnik et al. [2008] Origin of hiss suggested from chorus

Plasmaspheric hiss observations and distribution modeling



Bortnik et al. [2009]

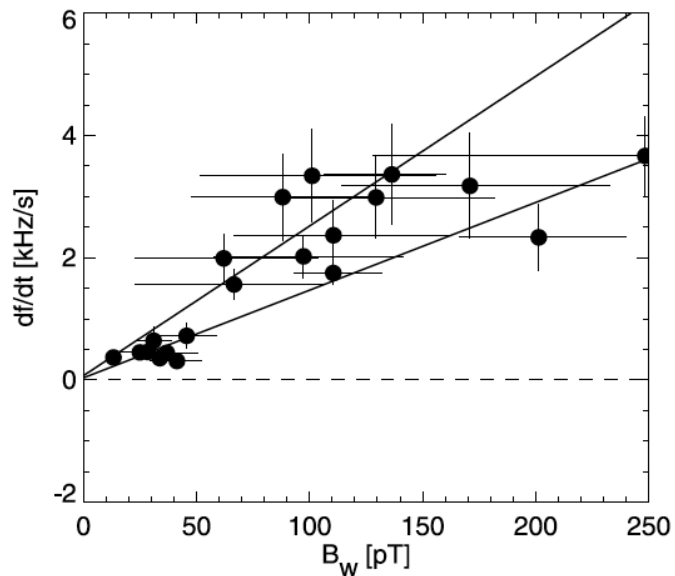
Chorus as the origin of plasmaspheric hiss: Reproduced observed spatial and spectral distributions: wavenormals oblique off-equator, Quasi-parallel at low L, bimodal near plasmapause



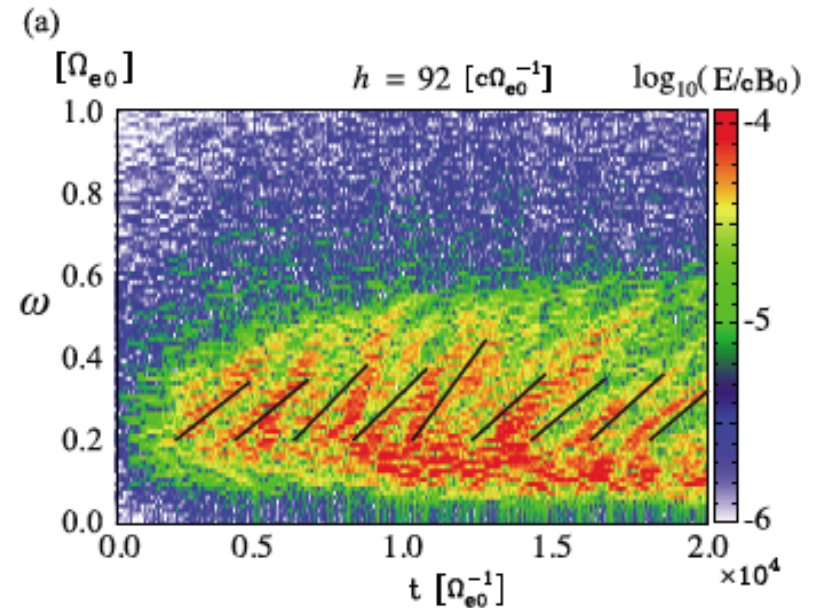
Bortnik et al. [GEM 2011]

Chorus growth

Recent (2 years) proliferation of research into chorus excitation, e.g., Omura et al. [2009], Nunn et al. [2009], Schriver et al. [2010], Santolik et al. [2010], Bessalov et al. [2010], Lampe et al. [2010], Hikishima et al. [2010], Katoh and Omura [2011], Omura and Nunn [2011], Demekhov [2011], etc.

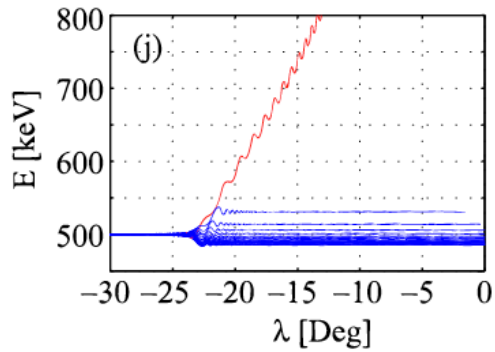


Cully et al. [2011], THEMIS data used to test theory with NO INDEPENDENT PARAMETERS, showing good consistency.



Katoh and Omura [2011], electron hybrid code simulations: frequency sweep is established very near the equator, but wave amplitudes grow as wave propagates away.

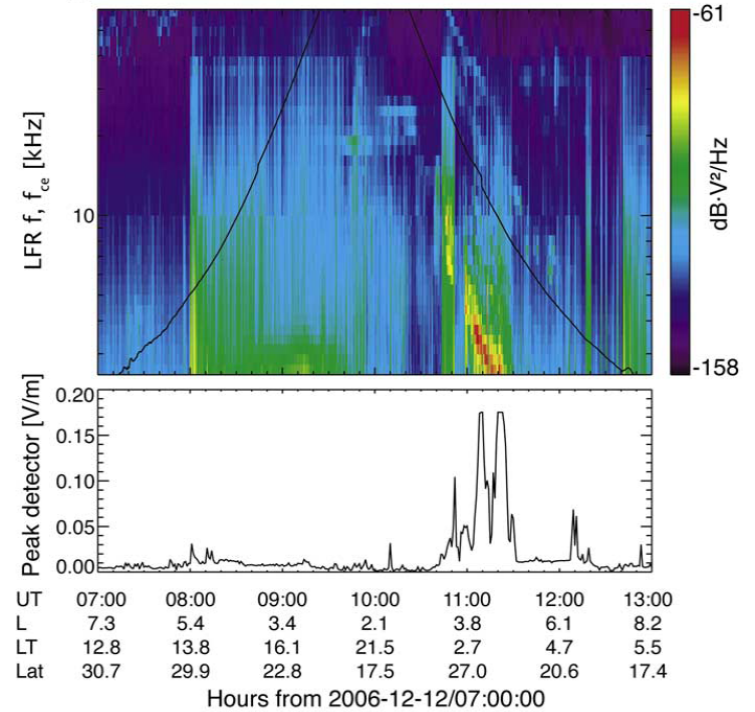
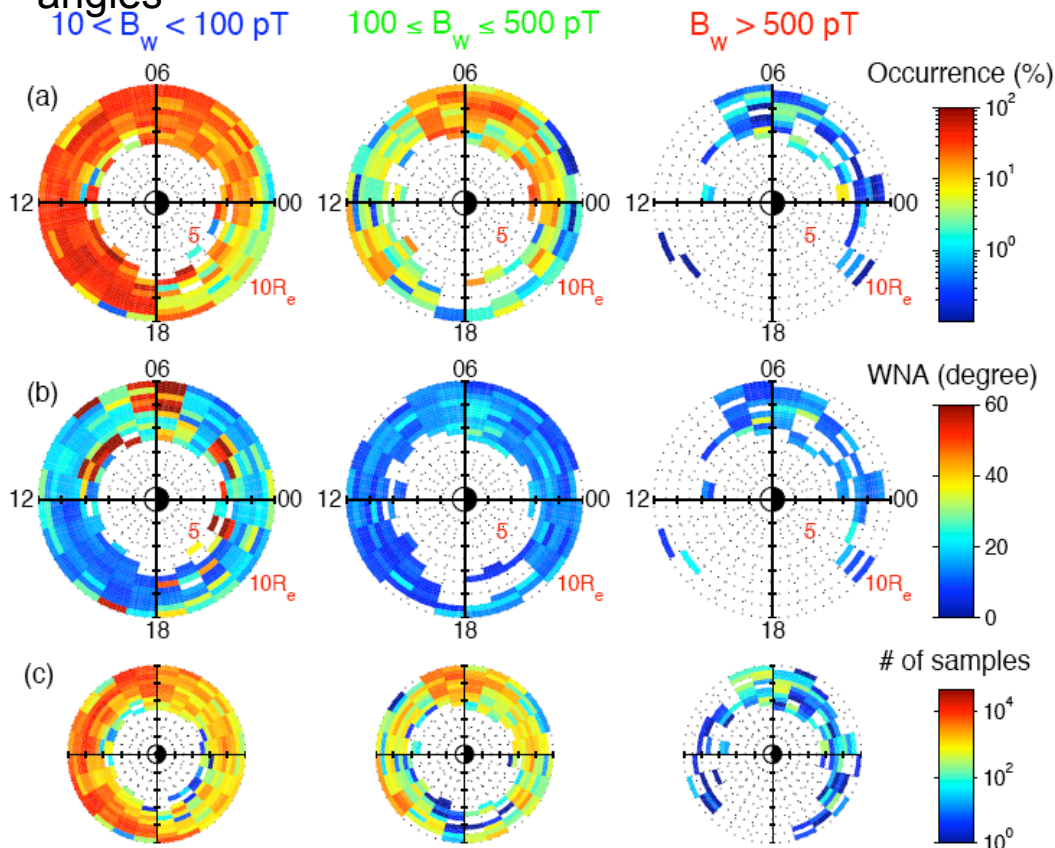
Q: Based on distribution function, can we analytically predict wave characteristics? E.g., saturation amplitude, upper and lower f cutoffs, df/dt , wave normal, etc.



Bortnik et al. [2008]
pronounced nonlinear effects, including rapid acceleration of a small percentage of particles.

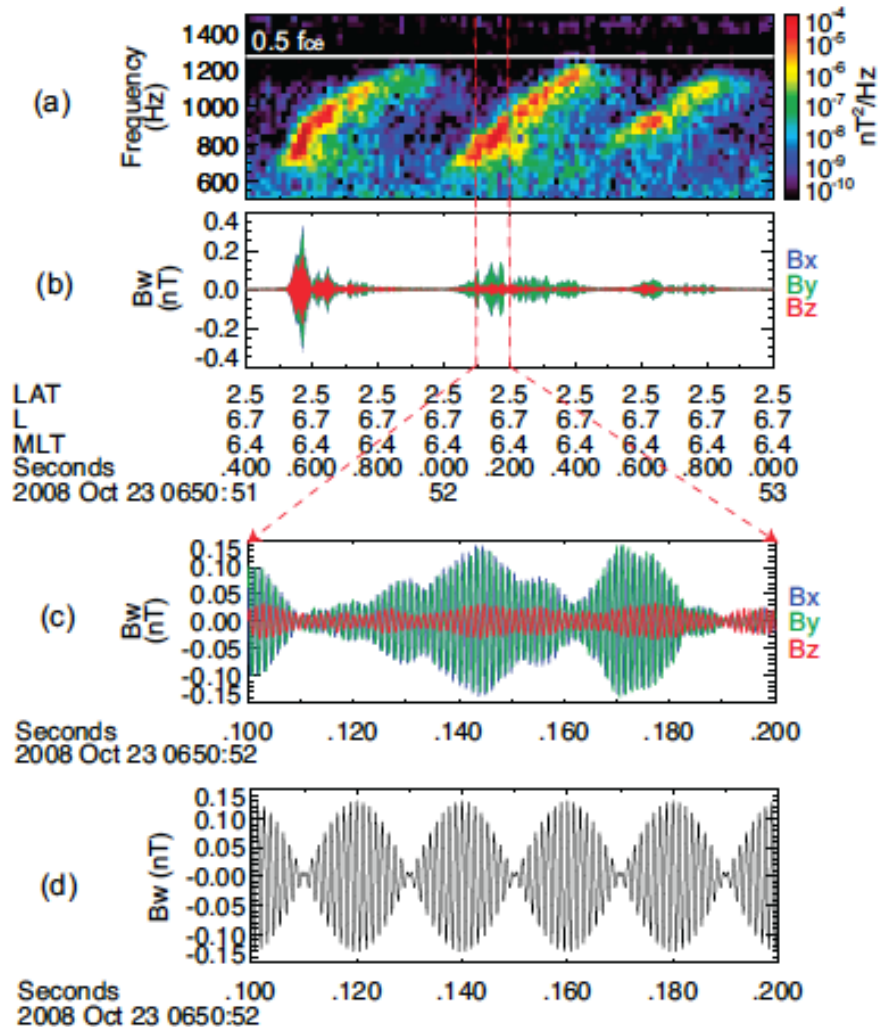
Large amplitude chorus

Li et al. [2011], Burst mode observations from THEMIS: Large amplitude chorus is ubiquitous, midnight-dawn, predominantly small wave normal angles

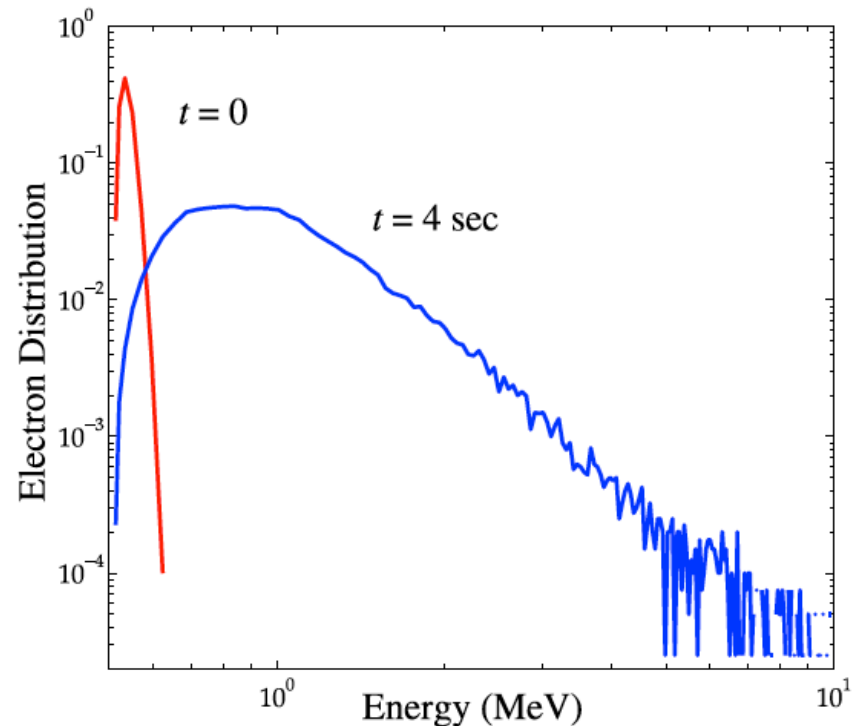


Cattell et al. [2008], First reports of large amplitude chorus, STEREO B

Scattering by large amplitude chorus



Yoon [2011] GRL: Solves fully nonlinear cold electron fluid equations for obliquely propagating large amplitude chorus: acceleration in seconds.

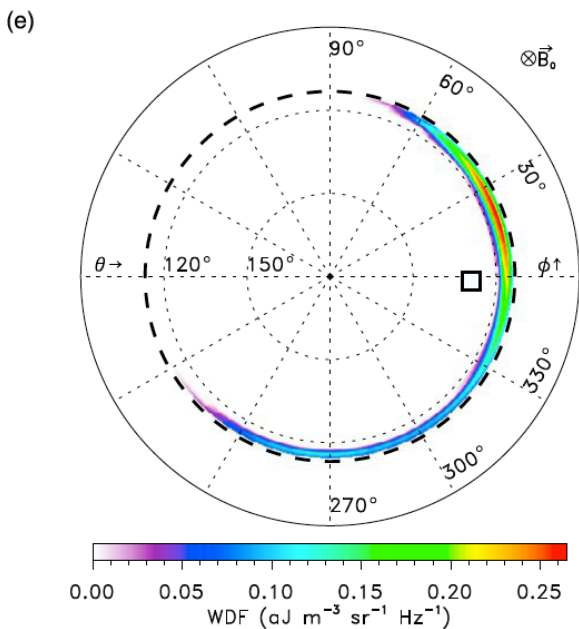
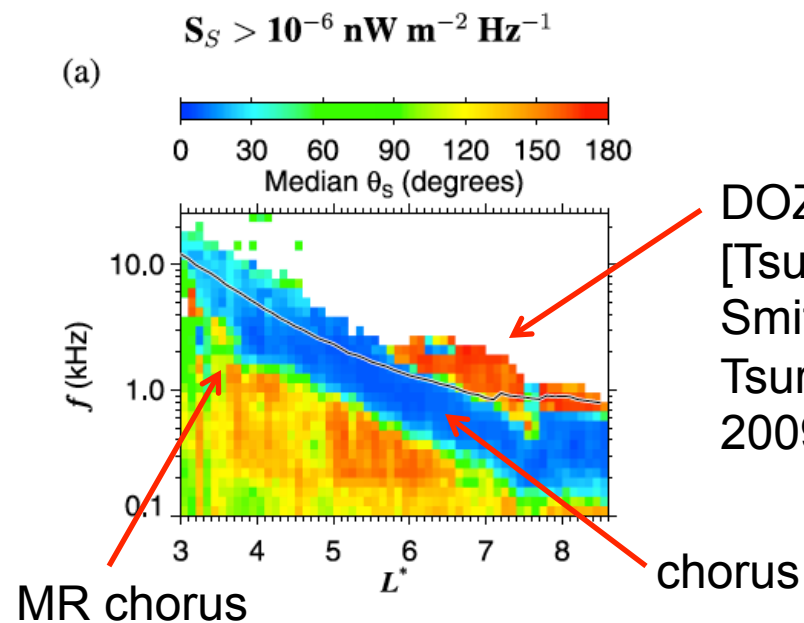


Tao et al. [under review] Inclusion of subpacket structure modifies the single-wave scattering picture

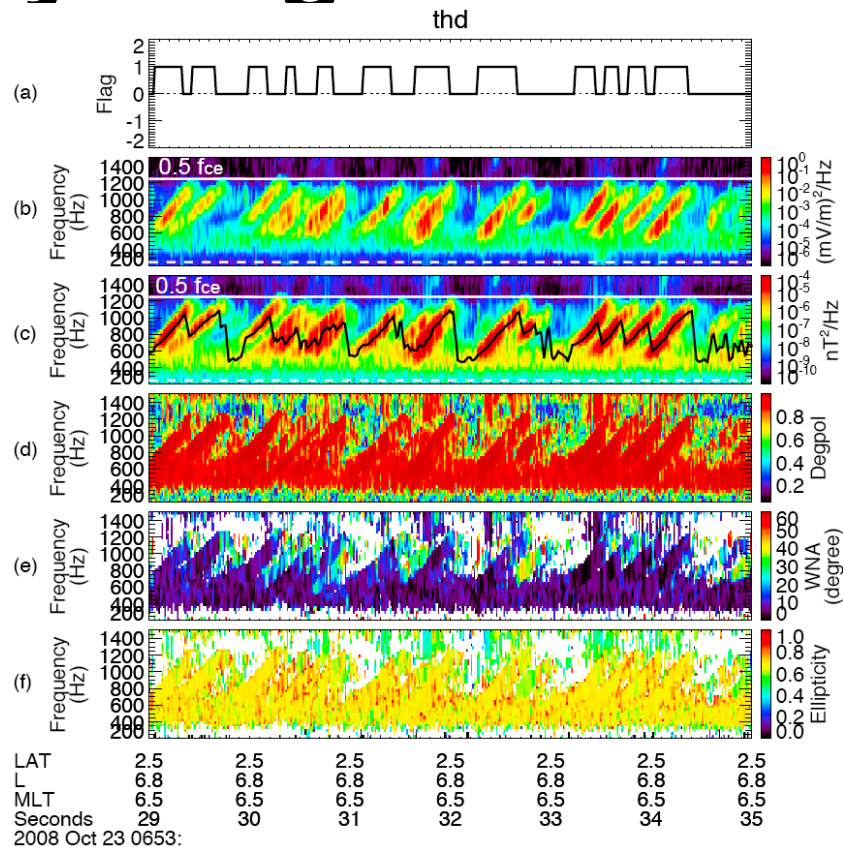
Chorus wave normals and Poynting vectors

Santolik et al., [2010], POLAR PWI: survey of Poynting fluxes

Chorus wave normals and Poynting vectors

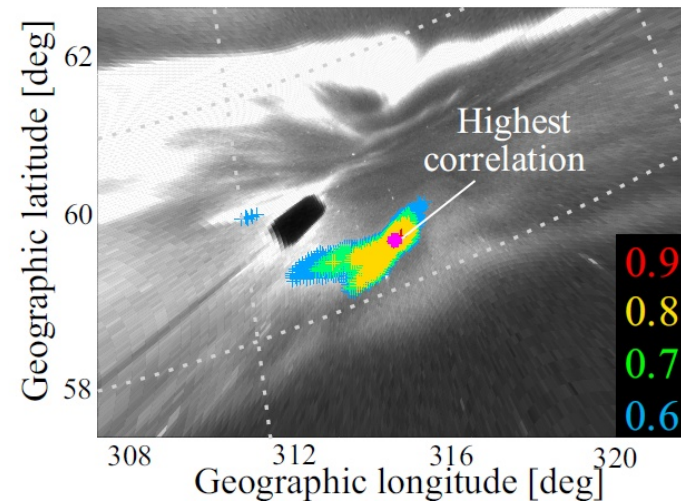
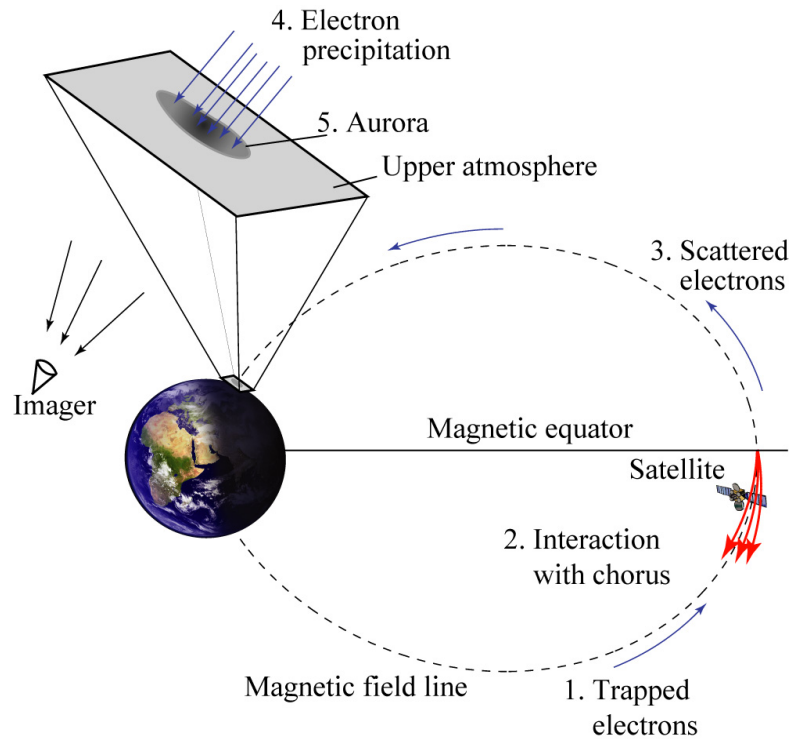


Santolik et al., [2009]

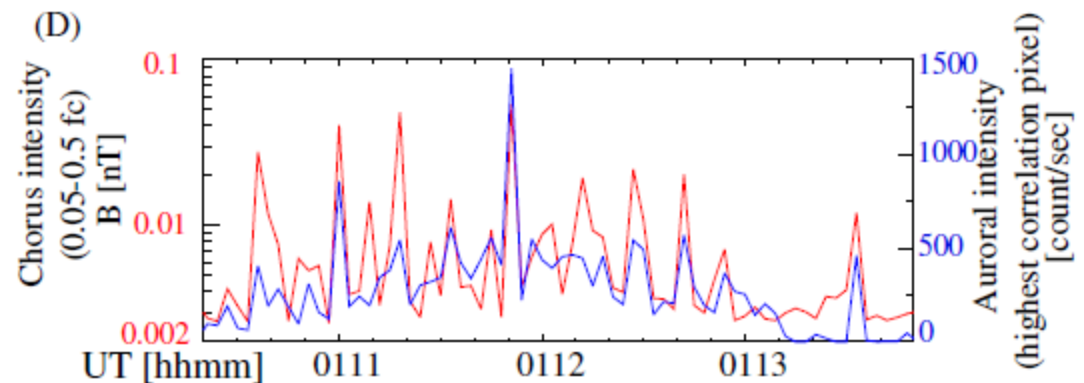


Systematic differences THEMIS analysis of rising tone vs. falling tone, and upper-band vs. lower band chorus [Li et al., under review]

Pulsating aurora: origin

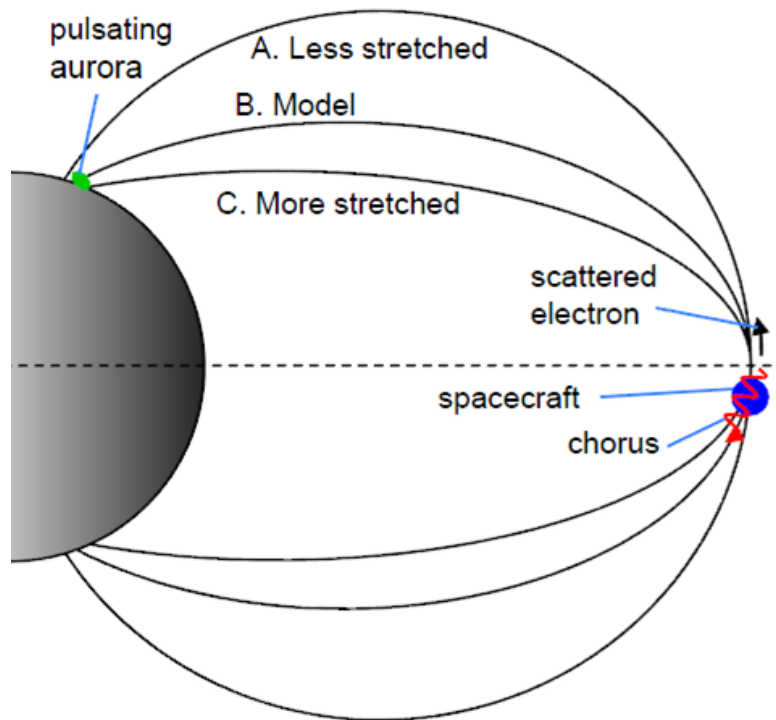


- Described in 1963 “auroral atlas”
- Origin is lower band chorus
- Allows visualization of chorus source region



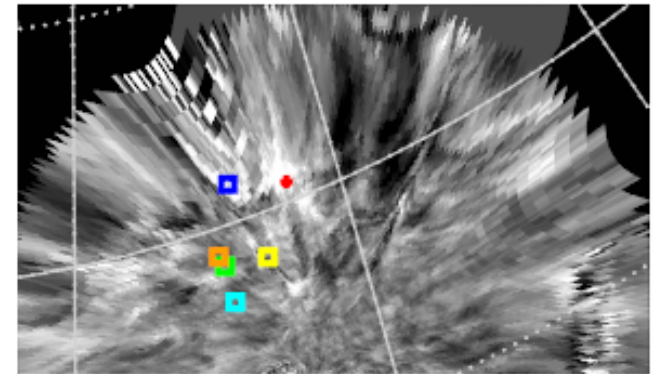
Nishimura et al. [2010] *Science*

Pulsating aurora: mapping



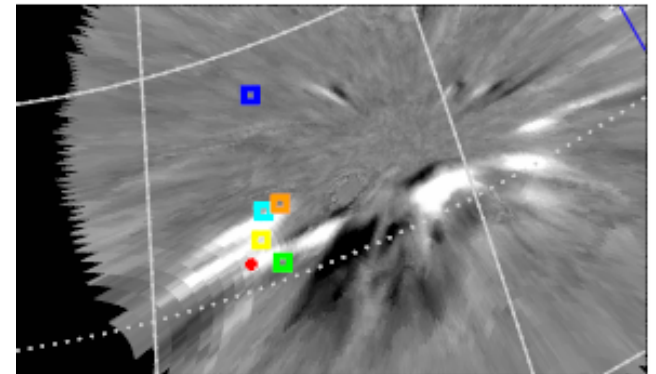
Quiet time
(ΔH and $\Delta Z \sim 0$)

g 2010-01-06/06:17:33 UT TH-E



Disturbed time
($|\Delta H|$ or $|\Delta Z|$
 $> \sim 50$ nT)

d 2009-02-15/01:38:00 UT TH-E



Nishimura et al.
[2011] in press

Magnetic activity dependence

- Quiet time footprint: **Closer to IGRF** than Tsyganenko
- Disturbed time footprint: **Closest to T02**

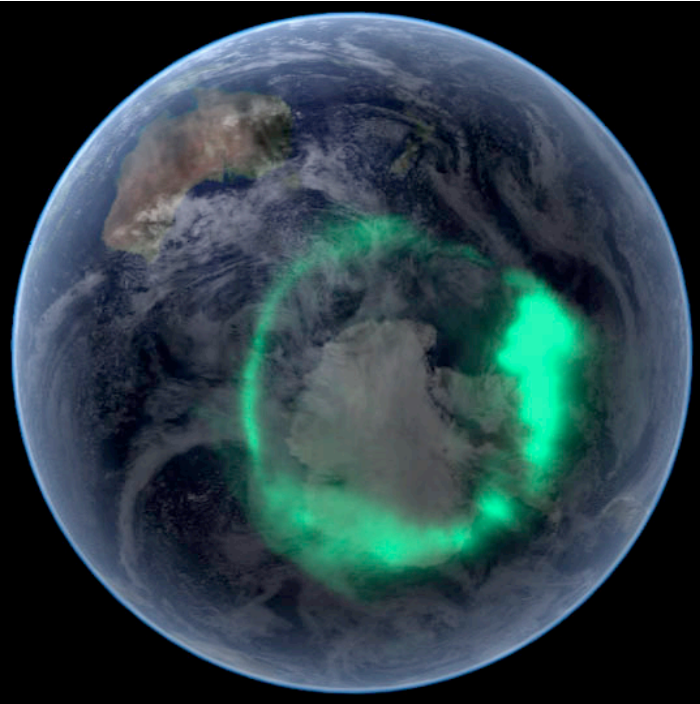
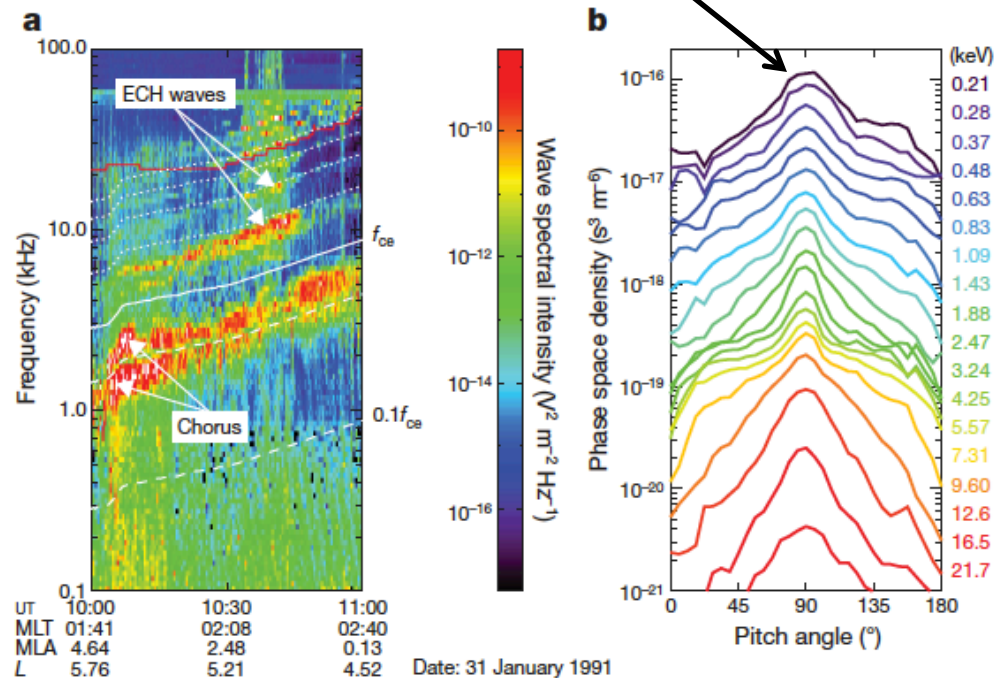


IMAGE satellite, 11 Sep 2005

Only chorus can account for the resultant distributions observed in space

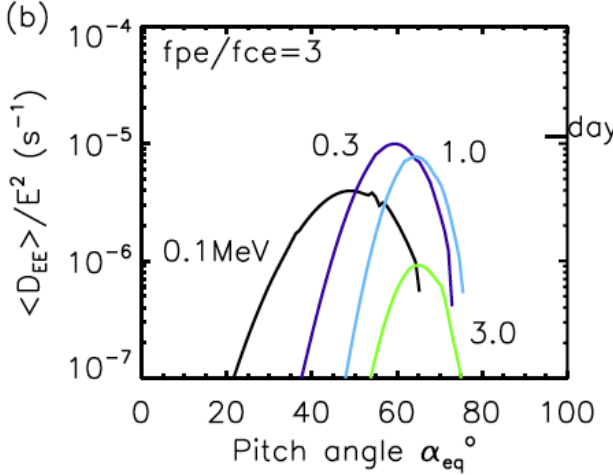
Diffuse aurora

These “pancake” distributions provide the clue



Thorne et al. [2010] *Nature*

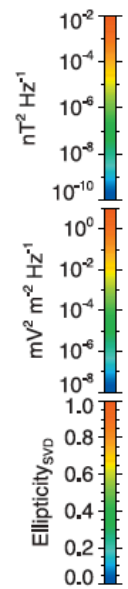
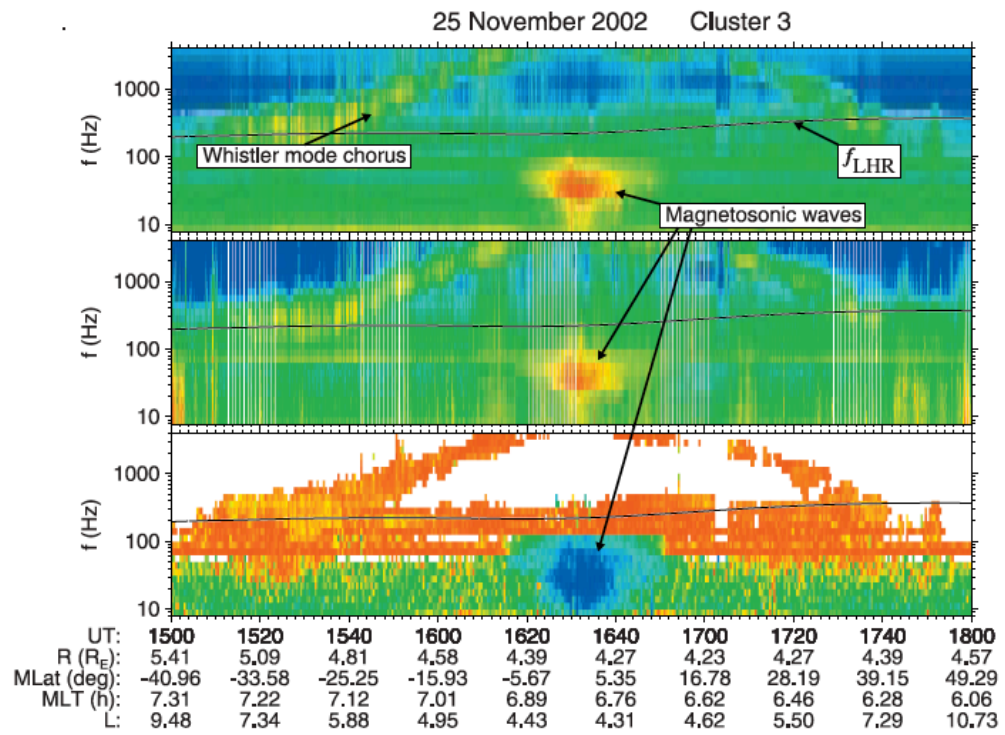
Magnetosonic waves



Horne et al. [2007]

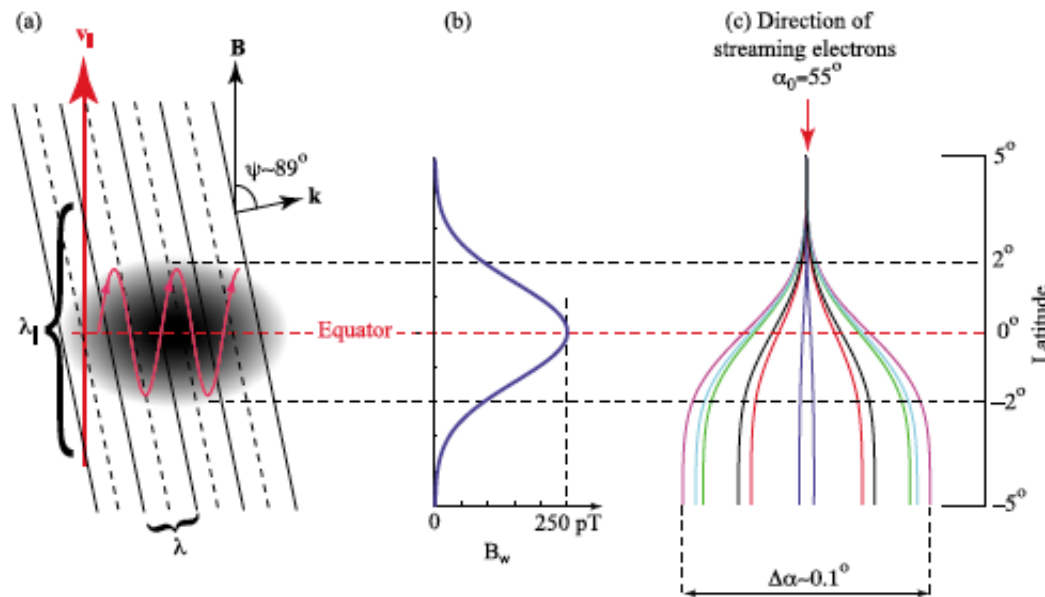
Acceleration due to magnetosonic waves could be as fast as ~1 day, based on ~200 nT CLUSTER observation

Open question: how often do large amplitude MS waves occur?

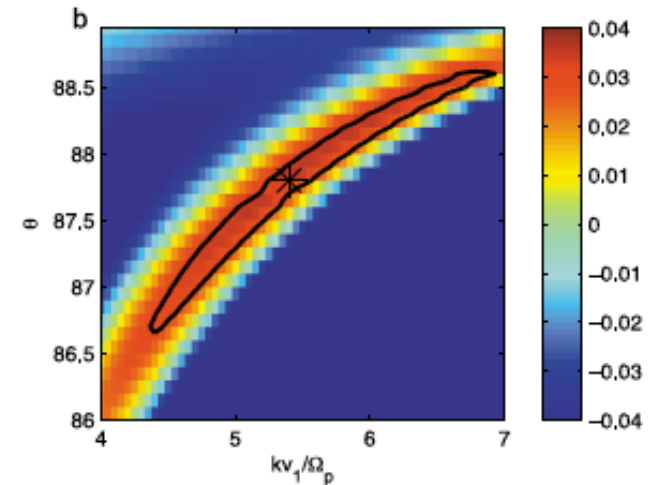


Magnetosonic waves

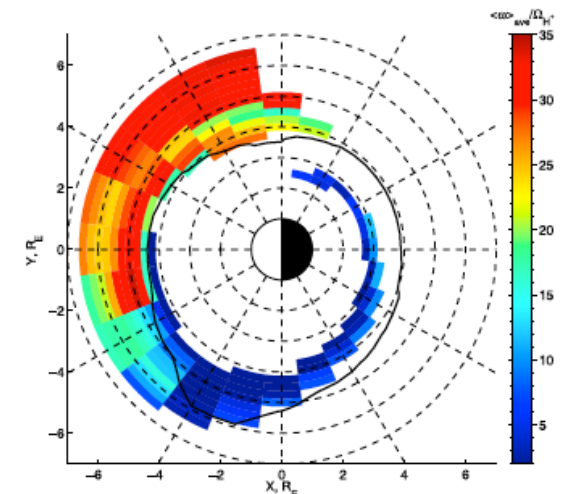
- Progress in understanding excitation, relation to the ion-Bernstein mode, and to ring distributions
- Transit-time scattering broadens the energy range of particles that can be affected



Bortnik et al. [2010]: transit-time scattering

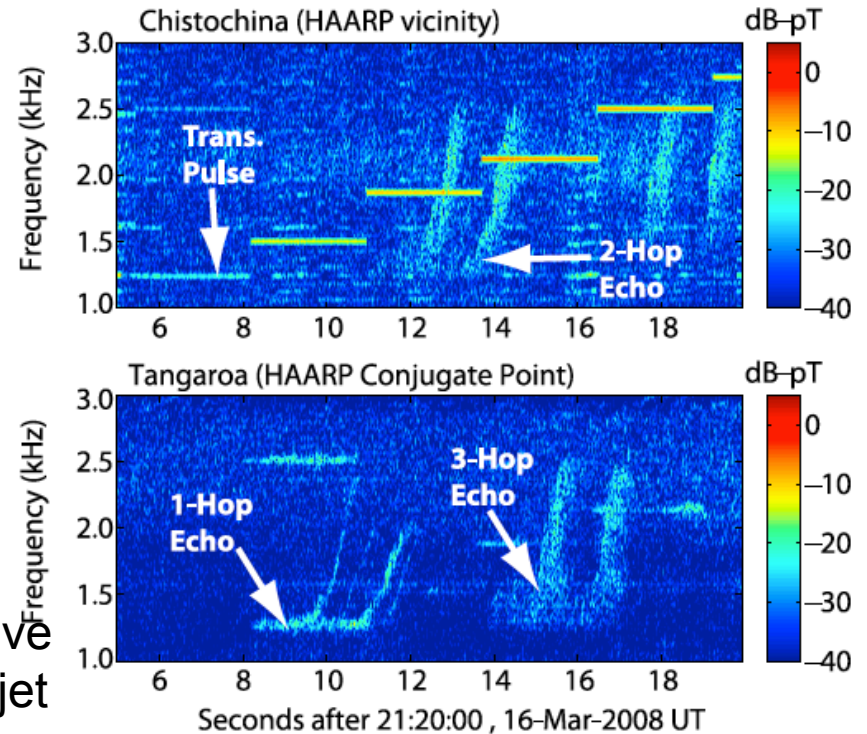
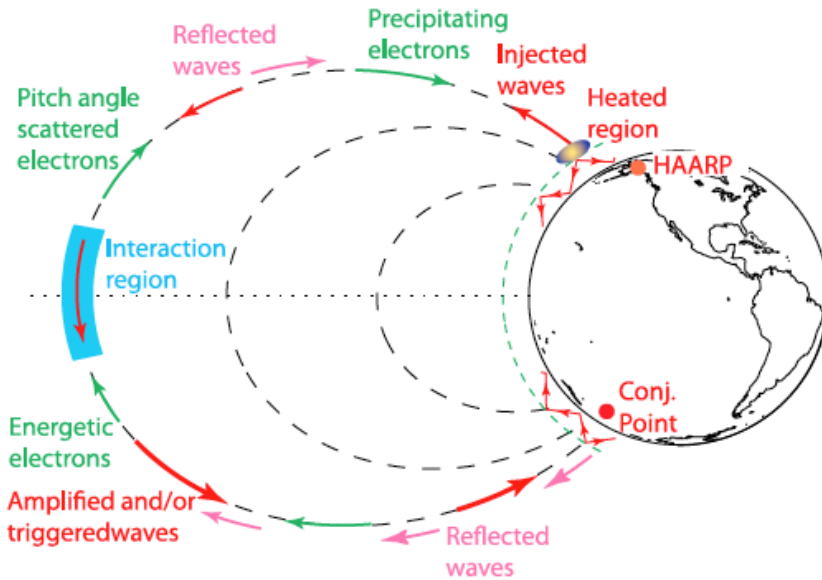


Gary et al. [2010]; Liu et al. [2010] Linear/PIC theory, transition to ion Bernstein instability

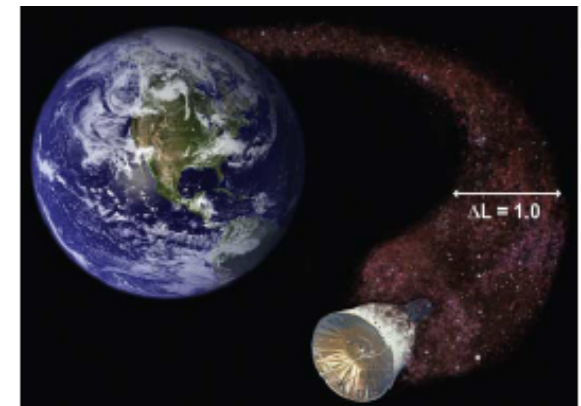


Chen et al. [2010; 2011] MS wave growth rate and spectral characteristics

Remediation and triggered emissions



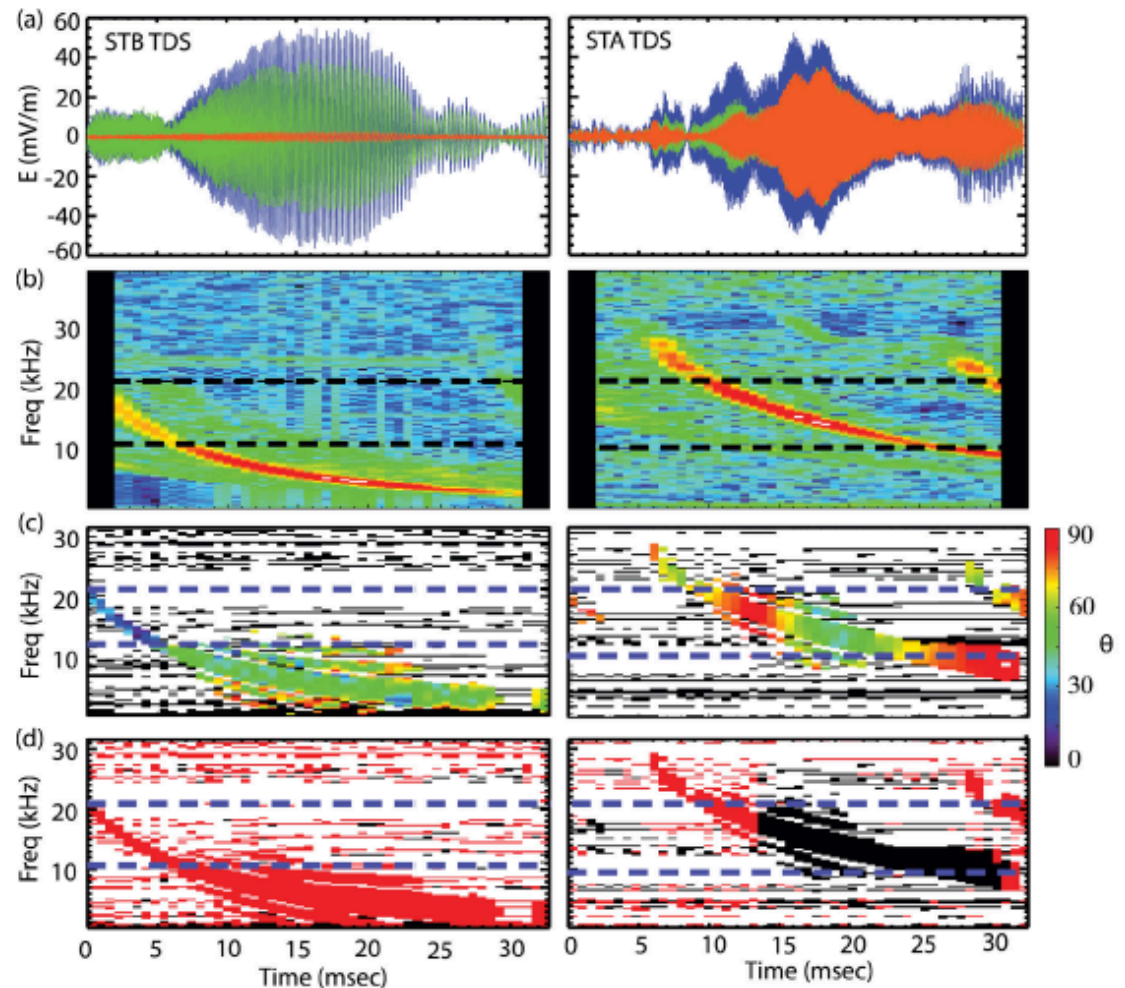
- **Golkowski et al. [2011]**, manmade VLF wave injection: modulation of the auroral electrojet by the HAARP transmitter.
- **Papadopoulos et al. [2011]** Self-generated currents.
- **Inan et al. [2003]; Kulkarni et al. [2008]; Graf et al. [2009]** Ground-based and space-based VLF wave injection
- **Shao et al. [2009]; Ganguli et al. [2007]** Space-based or ground based injection of EMIC waves for remediation of relativistic electrons and protons



The role of large-amplitude lightning and VLF transmitters

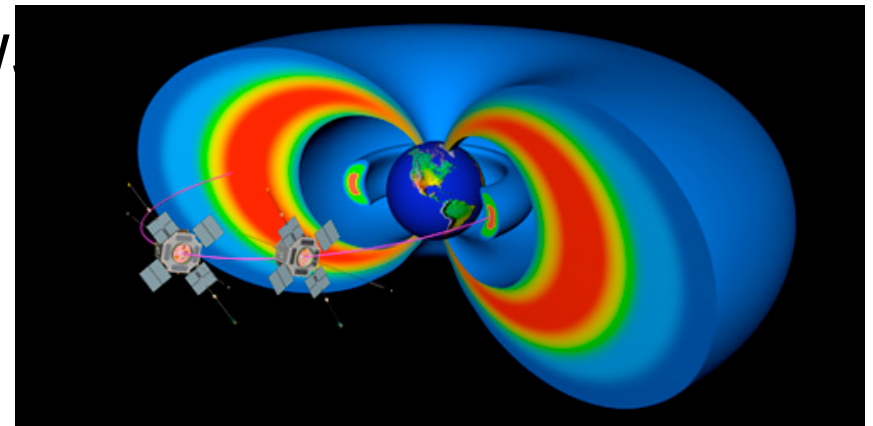
Breneman et al. [2011]

- STEREO observations of large amplitude lightning whistlers and VLF transmitters in inner plasmasphere ($L < 2$)
- 2-3 orders of magnitude larger (30-110 mV/m)
- Polarization reversals!?



NASA: Radiation Belt Storm Probes

1. Discover which **processes**, singly or in combination, **accelerate and transport** radiation belt electrons and ions and under what conditions.
 2. Understand and **quantify the loss** of radiation belt electrons and determine the **balance** between competing acceleration and loss processes.
 3. Understand how the radiation belts change in the context of **geomagnetic storms**.
- NASA Living With a Star (LWS)
 - Launch >Aug 2012
 - 2 probes, <1500 kg for both
 - ~10° inclination, 9 hr orbits
 - ~500 km x 30,600 km

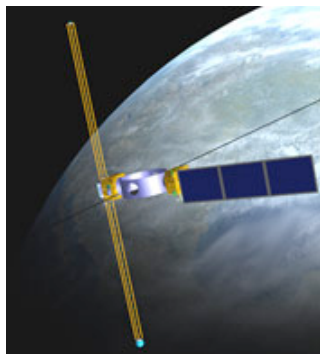


Coordination with other missions



BARREL (NASA)

Launch ~2012
2 campaigns, 5-8 balloons each

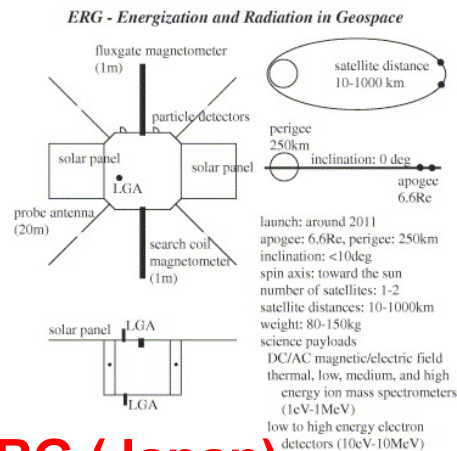


DSX (AFRL)

Launch ~2012
MEO, wave/particle

RESONANCE (Russia)

Launch ~2012-14, 4-spacecraft
Orbit: 1800x30,000km, ~63° incl.

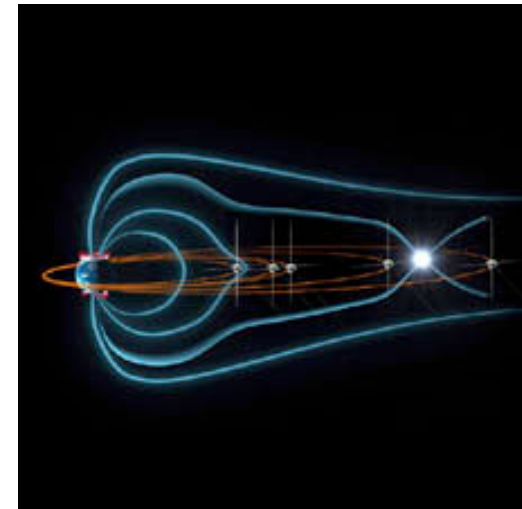


ERG (Japan)

Launch ~2013,
GTO

ORBITALS (CSA)

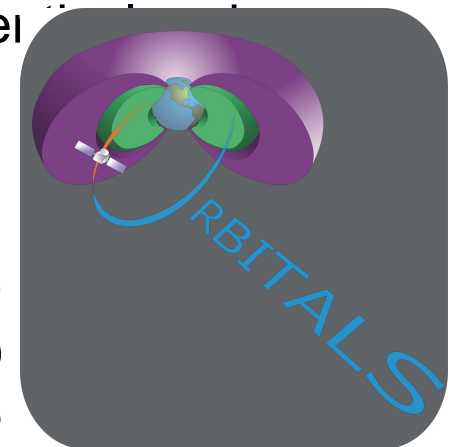
Launch 2012-(?)
Orbit ~L=2 to L=6



THEMIS (NASA)

Launch Feb 17, 2007

5 identical spacecraft
(3)



A brief summary

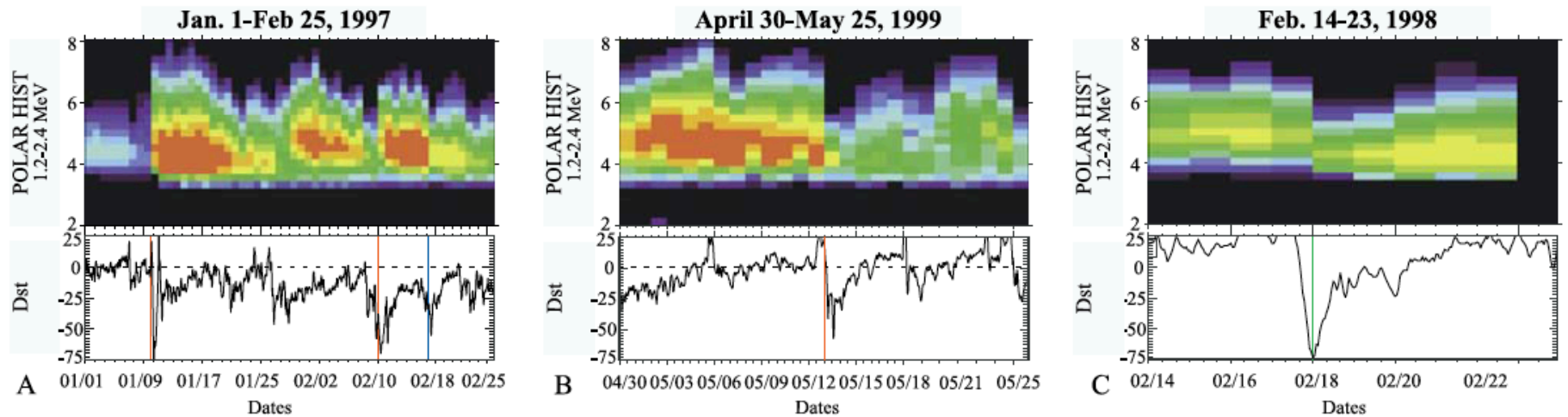
- Radiation belts as a backdrop for inner magnetospheric wave-particle interactions
 - Discovered in 1958, revisited in 2012
 - Exhibits dynamics variability that is hard to predict
- Waves responsible for particle dynamics
 - ULF, EMIC, magnetosonic, hiss, chorus, ECH, VLF transmitters, lightning
 - Wave distributions need to be accurately quantified
 - Modes of interaction need to be understood
- Wave-particle interactions are critical in a host of applications
 - Diffuse aurora, pulsating aurora, magnetic field mapping, triggering
- Several dedicated missions to be launched 2012-2013
 - RBSP, ORBITALS, DSX, ERG, RESONANCE, BARREL
 - This is an exciting period of discovery!

Some outstanding questions (pun intended)

- What are the distributions of the leading wave types?
 - as a function of physical space, k-space, and time?
- What are the modes of interaction of various wave and particles?
 - Linear/nonlinear/non-resonant
 - Depends on particle energy and wave characteristics
- What are the relative contributions of the various waves?
- What is the role of ULF waves?
 - Inward/outward diffusion/transport, or both/all
- What are the tools we need to address these questions?
 - This is not a trivial question

THANK YOU!

Predictability: D_{st}

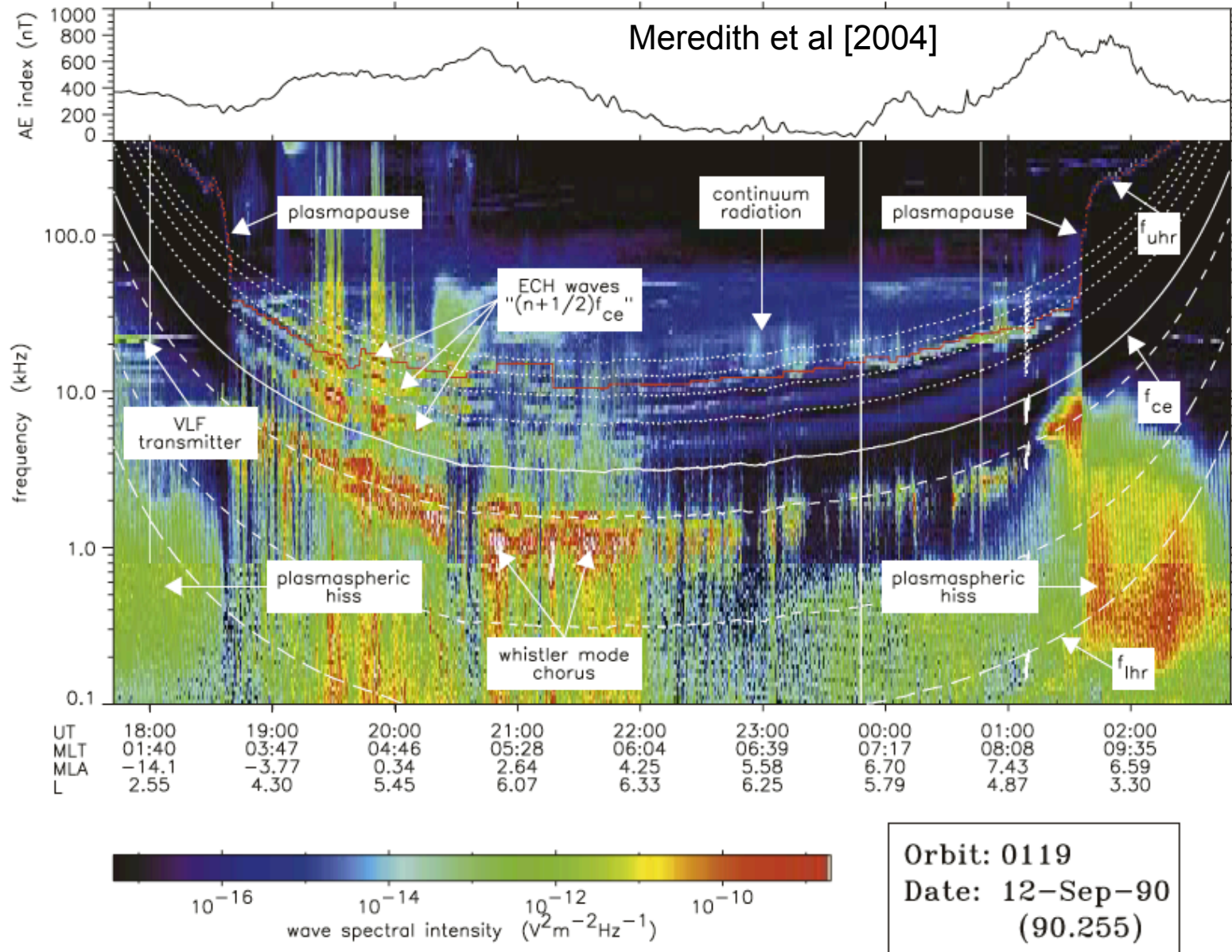


Reeves et al. [2003]

Similar sized storms can produce net increase (53%), decrease (19%), or no change (28%). “*Equally intense post-storm fluxes can be produced out of nearly any pre-existing population*”

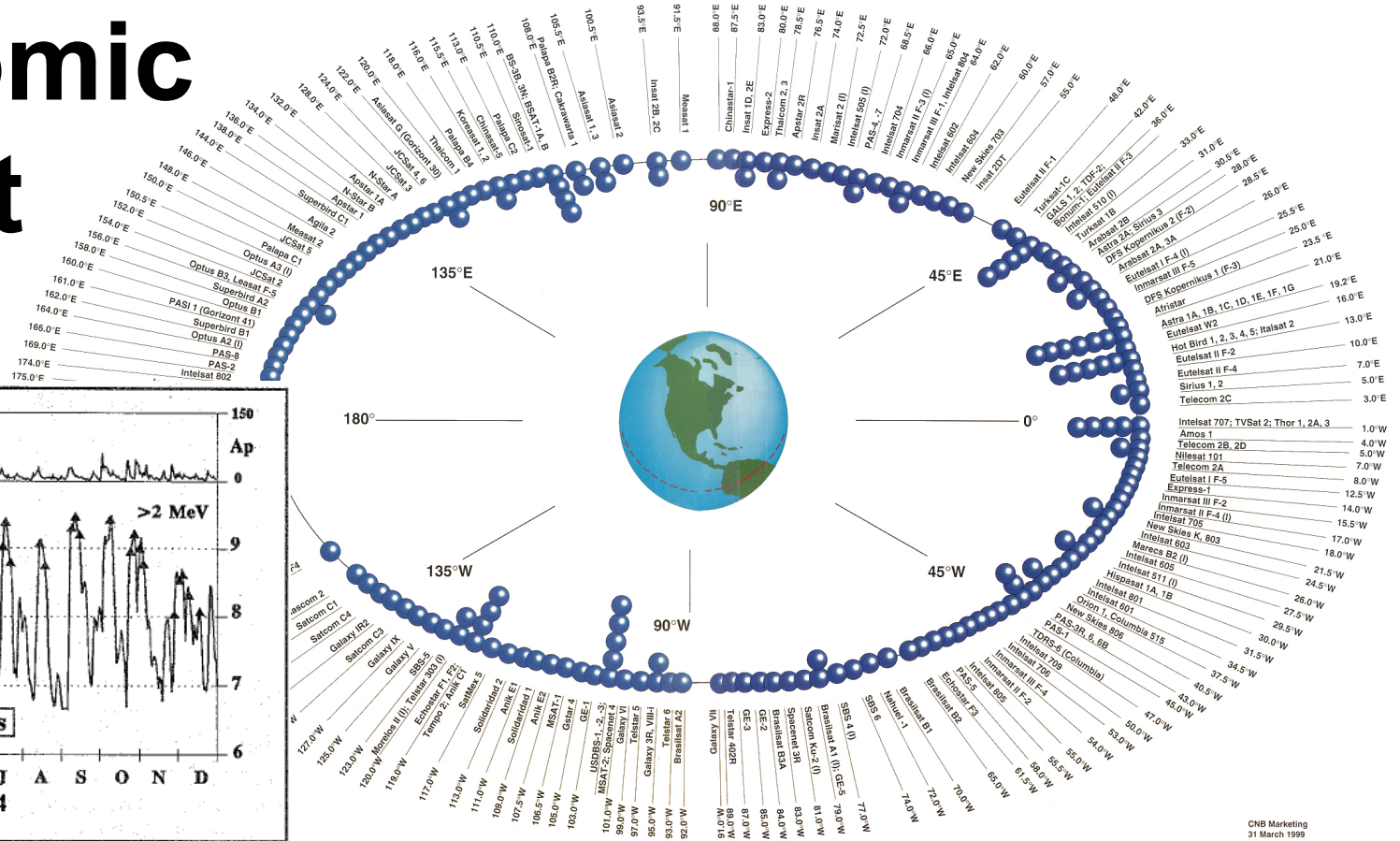
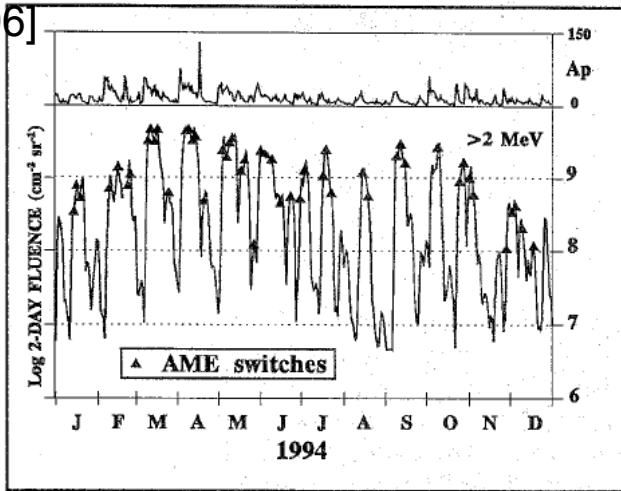
Delicate balance between acceleration and loss, both enhanced during storm-time, “*like subtraction of two large numbers*”.

The wave environment in space



Economic Impact

Wrenn & Smith
[1996]



CNB Marketing
31 March 1999

- MeV el: **internal charging**; 0.1-100 keV: **surface charging**; MeV ions: **SEU**
- ¾ satellite designers said that internal charging is now their most serious problem, 2001 ESA study [Horne, 2001], e.g., Intelsat K, Anik E1 & E2, Telstar 401, Galaxy IV,
- Most recently **Galaxy 15** (AE>2000 nT, 28 mins before anomaly), “wrong place, wrong time” [Allan, 2010]
- Costs: ~\$200M build, ~\$100M launch to GEO, 3%-5%/yr to insure; e.g., in 1998 \$1.6B in claims, but \$850M in premiums.

Radiation belts



Explorer 1 launch:
Jan. 31st 1958

“There are two distinct, widely separated zones of high-intensity [trapped radiation].”

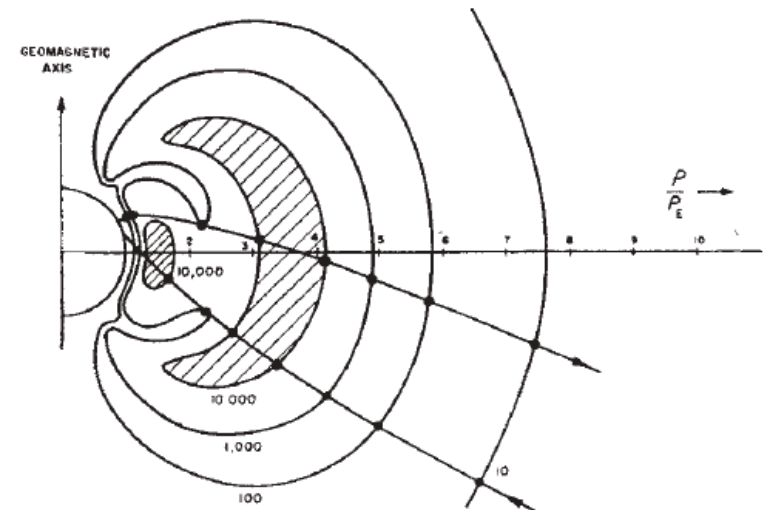
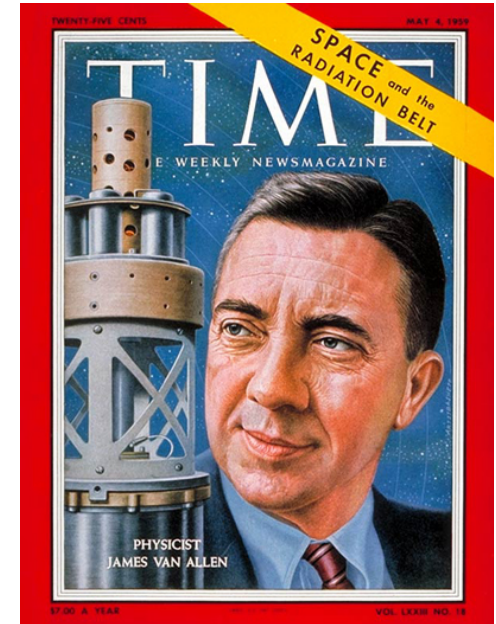
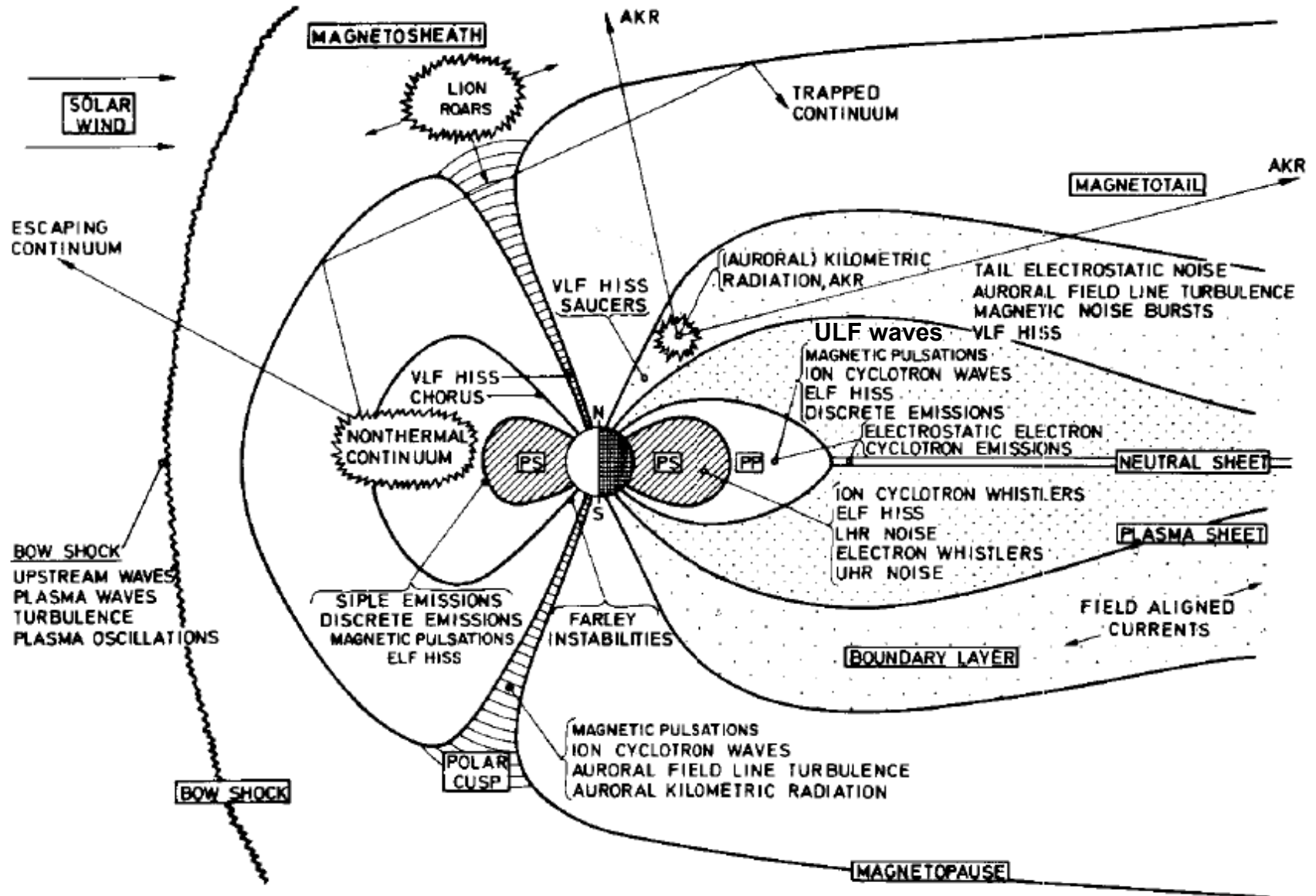


Fig. 5. A plot in a geomagnetic meridian plane of the intensity-structure of the radiation region around the Earth. The numbers associated with the several contours of constant intensity are the true counting rates R of the Geiger-Müller tube in *Pioneer III* or in satellite 1958 ϵ . Within the two cross-hatched areas R exceeds 10,000/sec. See text for further discussion

“The menagerie of geospace plasma waves”

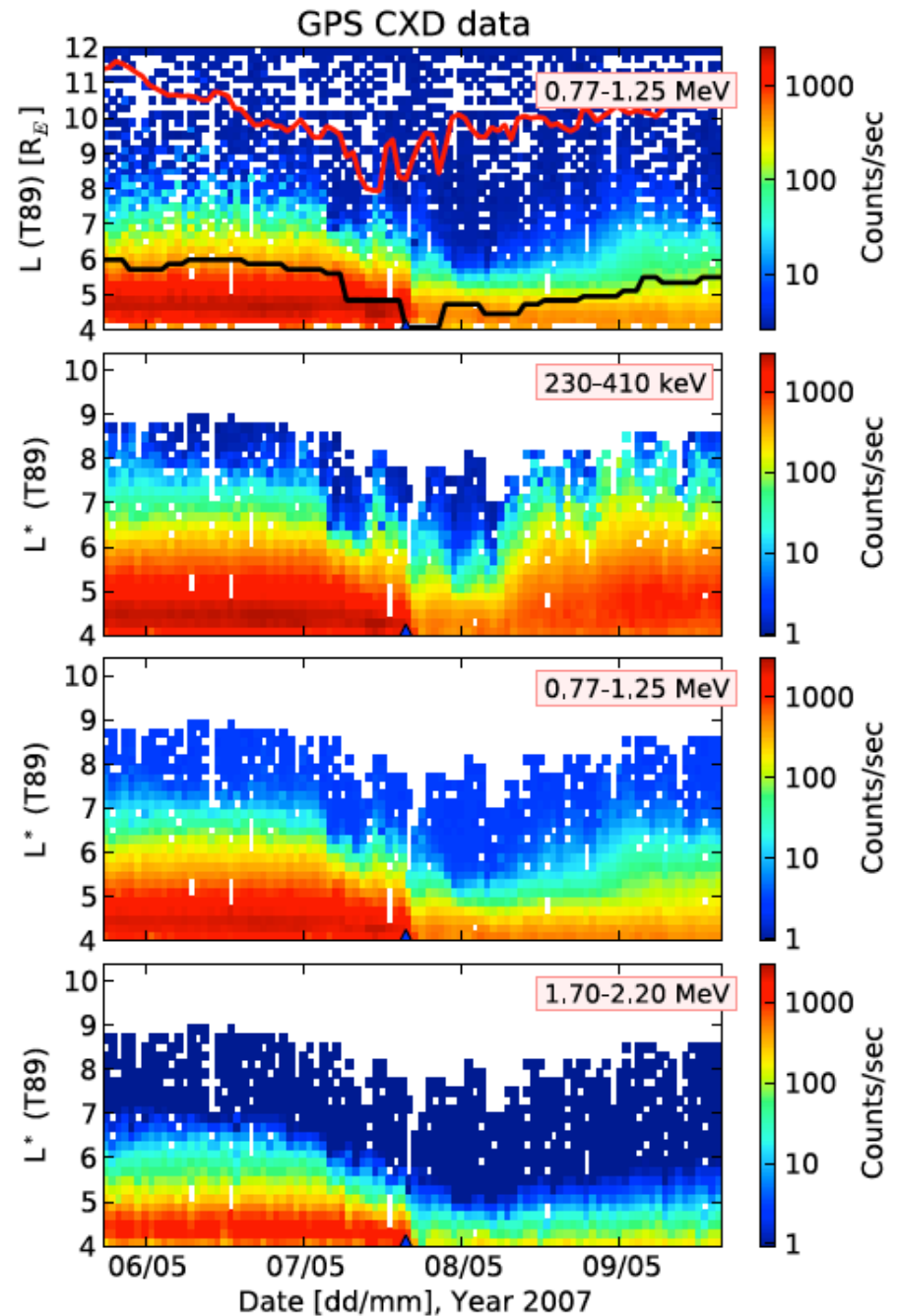


Shawhan [1985]

PS = PLASMASPHERE PP = PLASMAPAUSE

Dropouts: GPS

- Morley et al. [2011] observed dropout with GPS X-ray dosimeters, unprecedented spatial (0.2 L) and temporal (~1 hr) resolution
- Dropouts: energy down to 230 keV at least (too low for EMIC waves)
- Timescale ~2 hrs, too fast for hiss/chorus
- Magnetopause L~8, requires unrealistic diffusion rates?
- Key question: magnetopause at low L + large D_{LL} , always true for dropouts?



Morley et al.
[2011]